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# Modelling the dusty universe – I. Introducing the artificial neural network and first applications to luminosity and colour distributions

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## ABSTRACT

We introduce a new technique based on artificial neural networks which enable us to make accurate predictions for the spectral energy distributions (SEDs) of large samples of galaxies, at wavelengths ranging from the far-ultraviolet (UV) to the submillimetre (sub-mm) and radio. The neural net is trained to reproduce the SEDs predicted by a hybrid code comprised of the GALFORM semi-analytical model of galaxy formation, which predicts the full star formation and galaxy merger histories, and the GRASIL spectro-photometric code, which carries out a self-consistent calculation of the SED, including absorption and emission of radiation by dust. Using a small number of galaxy properties predicted by GALFORM, the method reproduces the luminosities of galaxies in the majority of cases to within 10 per cent of those computed directly using GRASIL. The method performs best in the sub-mm and reasonably well in the mid-infrared (IR) and far-UV. The luminosity error introduced by the method has negligible impact on predicted statistical distributions, such as luminosity functions or colour distributions of galaxies. We use the neural net to predict the overlap between galaxies selected in the rest-frame UV and in the observer-frame sub-mm at  $z = 2$ . We find that around half of the galaxies with a 850  $\mu\text{m}$  flux above 5 mJy should have optical magnitudes brighter than  $R_{\text{AB}} < 25$  mag. However, only 1 per cent of the galaxies selected in the rest-frame UV down to  $R_{\text{AB}} < 25$  mag should have 850  $\mu\text{m}$  fluxes brighter than 5 mJy. Our technique will allow the generation of wide-angle mock catalogues of galaxies selected at rest-frame UV or mid- and far-IR wavelengths.

**Key words:** methods: numerical – galaxies: evolution – large-scale structure of Universe – submillimetre.

## 1 INTRODUCTION

Starting with *IRAS*, and continuing with *Infrared Space Observatory (ISO)*, the *Spitzer Space Telescope* and *AKARI*, surveys using space-based infrared (IR) telescopes have revealed that many galaxies emit a significant fraction of their total luminosity at mid- and far-IR wavelengths, this emission coming from dust grains which have been heated by absorbing optical or ultraviolet (UV) light from stars or active galactic nuclei (AGN). Measurements of the integrated extragalactic background light reveal that the mid- and far-IR wavelength range contains as much energy as the UV and optical parts (Hauser et al. 1998). Dust therefore plays a key

role in shaping the observational signature of the overall global star formation history. Building on the success of space-based IR telescopes as well as ground-based submillimetre (sub-mm) instruments like the Submillimetre Common User Bolometric Array (SCUBA) on the James Clerk Maxwell Telescope, a number of new instruments and space missions are planned which will map the universe at wavelengths sensitive to emission from dust (e.g. *Herschel*, SCUBA-2, Large Millimetre Telescope, Atacama Large Millimetre/Submillimetre Array). Some of these new instruments will enable wide-field surveys to be carried out, such as the *Herschel* ATLAS survey which will cover 600 deg<sup>2</sup> and will provide accurate measurements of the clustering of galaxies selected by their far-IR emission. These new surveys will be targetted by other telescopes, building up multiwavelength coverage. It is therefore essential to

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develop theoretical tools which can take advantage of these new data and which make predictions of galaxy spectral energy distributions (SEDs) over a wide range of wavelengths.

In this paper, we build on a hybrid model introduced by Granato et al. (2000) which combines the semi-analytical galaxy formation code GALFORM (Cole et al. 2000) with a spectro-photometric code GRASIL (Silva et al. 1998). The semi-analytical model uses simple physically motivated recipes and prescriptions to follow the baryonic process believed to be important for galaxy formation (see Baugh 2006 for an overview). GRASIL takes the star formation history predicted for each model galaxy by GALFORM and makes an accurate calculation of the SED from the far-UV to the radio. GRASIL calculates the absorption of starlight by dust self-consistently by radiative transfer, using the dust mass obtained from the gas mass and metallicity, and the disc and bulge scalelengths predicted by the model. The spectrum of dust emission is calculated by solving for the radiative equilibrium temperatures of individual dust grains. The hybrid GALFORM plus GRASIL model successfully reproduces the abundance of Lyman-break galaxies (LBGs) at high redshift (detected through their emission in the rest-frame UV) and the number counts and redshifts of sub-mm selected galaxies (Baugh et al. 2005). This model has also been used to predict the number counts and redshift distributions of galaxies as measured in the mid- and far-IR by the *Spitzer Space Telescope* (Lacey et al. 2008).

To build mock catalogues with information about the spatial distribution of galaxies for wide-field surveys like the *Herschel* ATLAS, we need to use the hybrid GALFORM plus GRASIL model to populate large volume  $N$ -body simulations. In this paper, we use the Millennium Simulation of the evolution of structure in a cold dark matter universe (Springel et al. 2005). The simulation volume is  $500 h^{-1}$  Mpc on a side and contains around 20 million dark matter haloes at the present day. To build a mock catalogue for the *Herschel* ATLAS, which extends to  $z \approx 2$ , would require us to populate around 30 snapshots from the Millennium, which would run to around 500 million dark matter haloes. The GRASIL code takes several minutes to run for each galaxy, so to process on the order of one billion galaxies would take around 100 yr on current large computers.

In this paper, we explore an alternative approach in which we train an artificial neural network (ANN) to mimic the calculation of SEDs by GRASIL. We show that it is possible to construct a neural net which, starting from a small number of galaxy properties which can be readily predicted by GALFORM, can produce reasonably accurate predictions of the luminosity which would result from a direct calculation with GRASIL. We note that a complementary approach in which an ANN is trained to speed up part of the calculation carried out by GRASIL has been developed by Silva et al. (in preparation).

Here, we introduce the neural net technique and apply it to study the overlap between LBGs and sub-mm selected galaxies. We give a brief overview of GALFORM and GRASIL in Section 2 and explain how they are combined into a hybrid code to predict the SEDs of galaxies. In Section 3, we give some theoretical background to ANNs. Section 4 is devoted to an investigation of the accuracy of the neural net in predicting galaxy luminosities for different choices for the setup of the net. We apply the new technique to the prediction of the luminosity functions of LBGs at  $z = 3$ , mid-IR selected galaxies at  $z = 0.5$  and sub-mm galaxies (SMGs) at  $z = 2$  in Section 5, where we compare the results from the neural net against the direct calculations from GRASIL. We show how well the model can predict colour distributions in Section 6. In Section 7, we examine the overlap between galaxies selected in the rest-frame UV and in the observer frame submillimeter. Finally, in Section 8,

we present our conclusions. Throughout we assume the cosmology of the Millennium Simulation with a present-day matter density of  $\Omega_M = 0.25$  and a cosmological constant of  $\Omega_\Lambda = 0.75$ .

## 2 THEORETICAL BACKGROUND I: MODELLING THE GALAXY POPULATION

In this section, for completeness, we give a brief overview of the semi-analytical galaxy formation model GALFORM and the spectro-photometric code GRASIL. We also explain how these codes can be used in combination to predict the full SEDs of a population of galaxies.

### 2.1 The galaxy formation model: GALFORM

The fate of baryons in a universe in which structure in the dark matter forms hierarchically depends on a range of often complex and non-linear physical phenomena. The GALFORM code models these processes using physically motivated recipes. Some parts of the model are better understood than others. For example, the merger history of dark matter haloes has been modelled extensively using  $N$ -body simulations of gravitational instability and accurate Monte Carlo techniques have been developed to replicate the merger histories (e.g. Parkinson, Cole & Helly 2008). On the other hand, the rate at which stars form from a reservoir of cold gas is not well understood theoretically and is modelled by adopting a prescription which contains parameters. The values of the parameters are fixed by requiring that the model reproduces a subset of observations of the galaxy population. The philosophy behind semi-analytical modelling is set out in the review by Baugh (2006). Full details of the GALFORM model are given by Cole et al. (2000) and in subsequent papers which have presented developments of the original model (Benson et al. 2003; Baugh et al. 2005; Bower et al. 2006; Font et al. 2008). A useful summary of the model used in this paper, that of Baugh et al. (2005), is given by Lacey et al. (2008, 2009). The Baugh et al. model reproduces the observed abundance of LBGs and galaxies detected with the SCUBA instrument. We use the ANN model to investigate the overlap between these populations in Section 7.

The key point to have clear is that GALFORM predicts the full star formation and chemical enrichment history of galaxies. The starting point is the merger history of a dark matter halo. The rules describing the baryonic physics are applied to gas in the merger tree, starting from the branches which are in place at the earliest time. The code then follows the gas cooling, star formation, feedback processes and galaxy mergers. The star formation history, which includes the metallicity of the stars made at each time-step, is the primary ingredient required to compute the SED of a galaxy. In its standard mode of operation, GALFORM uses a stellar population synthesis model (such as the one devised by Bruzual & Charlot 2003) to construct a composite stellar population for each galaxy. Extinction of starlight by dust is calculated by assuming that the dust and stars are mixed together, rather than by treating the dust as a foreground slab. GALFORM predicts the half-mass radius of the disc and bulge components of each galaxy (see Cole et al. 2000; tests of the model for calculating sizes are presented in Cole et al. and also in Almeida, Baugh & Lacey 2007 and González et al. 2009). Assuming a random inclination angle at which to view the galactic disc, the attenuation of starlight is computed using the tabulated results of radiative transfer calculations carried out by Ferrara et al. (1999) (note that these authors considered different stars and dust configurations).

## 2.2 The spectro-photometric model: GRASIL

The GRASIL code (Silva et al. 1998; Granato et al. 2000) can be used to accurately model the observed SEDs of galaxies over a wide range of wavelengths – from the far-UV to radio. It computes the stellar emission, absorption and emission of radiation by dust, and radio emission powered by massive stars (Bressan, Silva & Granato 2002). In the standard application of GRASIL, a parametrized star formation history is tuned until a given observed SED is reproduced (e.g. Bressan et al. 2002). The selling point of GRASIL is its sophisticated handling of the extinction and reprocessing of starlight by dust. In the model, the stars are assumed to be axisymmetrically distributed in a disc and bulge component. The dust is assumed to be divided into two phases: a diffuse component and dense, star-forming molecular clouds, with the mass fraction between the two being a model parameter (we assume that 25 per cent of the cold gas is in molecular clouds). Stars are born within molecular clouds and then escape after a few Myr. The extinction of the light from a set of stars depends on their age relative to this escape time. High-mass stars, which typically dominate the emission at UV wavelengths, spend a significant fraction of their short lifetimes within the optically thick molecular clouds. Consequently, the emission at these wavelengths is heavily extinguished. The time for a star to escape from a molecular cloud is assumed to be  $t_{\text{esc}} = 1$  Myr. In the GRASIL model, all the gas and dust present in quiescent galaxies is confined to the galactic disc, while for galaxies undergoing a burst of star formation, the dust is assumed to be confined to the bulge. GRASIL calculates the radiative transfer of starlight through this dust distribution (molecular clouds and diffuse interstellar medium (ISM)), and then solves for the temperature distribution of the dust grains at each point in the galaxy self-consistently based on the local stellar radiation field. This temperature distribution is then used to calculate the dust emission. The composition of the dust was chosen to match the properties of the local ISM: a mixture of graphite and silicate grains, as well as polycyclic aromatic hydrocarbons (PAHs). The effect of temperature fluctuations on very small grains and PAHs molecules is also included. PAH emission is calculated using the PAH cross-sections of Li & Draine (2001). Radio emission from synchrotron radiation and ionized gas in H II regions is calculated as described by Bressan et al. (2002). The model is calibrated against available data of normal and starburst galaxies in the local universe (Bressan et al. 2002; Vega et al. 2005; Panuzzo et al. 2007; Schurer et al. 2009). The self-consistent calculation of dust temperatures by GRASIL avoids the need to impose a dust temperature by hand, as is common in other models. A limitation of GRASIL is the fact that it assumes axisymmetrical distributions for the gas and dust in galaxies undergoing a burst of star formation. There is some observational evidence of more complex geometries and extraplanar dust (see e.g. Engelbracht et al. 2006; Whaley et al. 2009). This would be a problematic if the dust absorbed and emitted a significant fraction of the radiation. However, observations of for nearby starbursts revealed that most of the absorption and emission of radiation by dust only happens in a compact region of size  $\sim 1$  kpc or less (see e.g. Soifer, Neugebauer & Houck 1987; Soifer, Helou & Werner 2008). Furthermore, GRASIL has been shown to accurately predict the SEDs of quiescent and starburst galaxies (Silva et al. 1998; Bressan et al. 2002).

## 2.3 A hybrid model: GALFORM plus GRASIL

Granato et al. (2000) described how the GRASIL code can be used to compute the SEDs of GALFORM galaxies. The semi-analytical code

predicts the star formation history of each galaxy, outputting the star formation rate in all the progenitors of the galaxy, stored in bins of metallicity. The model also outputs the scalelengths of the galaxy's disc and bulge, and the mass and metallicity of the cold gas. GRASIL takes this information and produces an unextincted and an extincted SED for the galaxy. The calculation carried out by GRASIL improves over the standard calculation made by GALFORM in two main areas: (i) dust extinction at short wavelengths, which is strongly affected by molecular clouds, is calculated more accurately; and (ii) the emission of radiation by dust is included.

## 3 ARTIFICIAL NEURAL NETWORKS

ANNs are mathematical constructs designed to replicate the behaviour of the human brain. Given a training set of observations consisting of inputs with an associated set of outputs, the role of the ANN is to 'learn' from these observations in order to be able to predict the output from a new set of inputs. The origins of the technique date back to McCulloch & Pitts (1943), who developed a simple network using *shape artificial neurons* to perform logical operations. However, the concept of learning was only introduced a few years after this by Hebb (1949) and implemented by Rosenblatt (1958, 1962). Nowadays ANNs are widely used in computer science, finance, physics, mathematics, astronomy and many other areas. Typical applications include pattern recognition, function approximation, prediction and forecasting, and categorization. Even though neural networks have traditionally been viewed as black boxes, for which the user has little knowledge of their internal workings, they offer a number of advantages over other data mining and analysis tools, such as the ability to learn and applicability to a wide range of problems. Also, ANNs can be readily parallelized.

### 3.1 Basic concepts

In simple terms, the brain can be thought of as a collection of billions of special cells called neurons, which process information and are interconnected through synapses in a complex net. Neurons work by receiving electrochemical signals from other neurons, some of which will excite the cell whereas others will inhibit it. The neuron adds up these inputs and if the sum exceeds a certain threshold, it will transmit the same signal to other neurons. In this case, the neuron is said to be activated.

ANNs are similar to their biological counterparts: they consist of simple computational units (also called neurons or nodes), which are connected in a network. For every neuron, we need to specify the input connections and their associated weight,  $w_j$ . The neuron multiplies the input by its weight and adds the contributions from the interconnected units. The sum is then mapped by the activation function,  $f$ , to the output value, which, in turn, will become an input to the next group of adjacent neurons. If we define  $i_k$  as the input signal coming from neuron  $k$ , and  $w_{jk}$  as the weight between the input  $k$  and neuron  $j$ , then the output,  $o_j$ , from the neuron is given by

$$o_j = f \left( \sum_{k=1}^n w_{jk} i_k \right). \quad (1)$$

There are numerous types of ANNs which differ in the way the neurons are organized and exchange information. It is common to group the neurons into layers. In general, there is an input layer, an output layer and some number of hidden layers in between. The input layer is responsible for handling the input data. It is clear that

there is no activation function associated with this layer, because the output values of their neurons are simply set to be equal to their input values. The output of the network is recovered from the output layer. Using only one input layer and one output layer, it is possible to construct a very simple network called a perceptron. The perceptron can recognize simple patterns in data. For more difficult tasks, we need hidden layers between the input and output layers. The term ‘hidden’ is used because the user does not have direct access to the inputs and outputs dealt with by these layers.

Networks with more than just an input and output layer are called multilayer networks or multilayer perceptrons. They are the most widely used due to their ability to learn non-linear functions.

The three most popular network configurations are: the perceptron (no hidden layers), the feed-forward and the recurrent network (the latter two cases both use hidden layers). Feed-forward nets are the most widely employed due to their simplicity. These ANNs pass information from the input layer, through the hidden layers to the output neurons. In recurrent networks, on the other hand, the output from the neurons can be fed backwards, through feedback connections, and act as input. Such behaviour is similar to that found in the biological brain. Even though recurrent networks can perform better than the feed-forward nets, they suffer from a major drawback: training is more difficult due to their oscillatory, even chaotic behaviour, resulting in longer computing times.

### 3.2 Training

There are two types of learning: supervised and unsupervised. In the first case, the network is presented with a target consisting of a set of inputs with associated outputs. The ANN adapts its weights in order to reproduce the desired output. In unsupervised learning, the network does not have a target output. In this case, the aim is to find patterns and to group the data. In this paper, we focus on supervised learning.

There are several different approaches to supervised learning. Most share the common feature that the ANN learns by comparing the predicted output to the target output. The algorithm of this process is simple: (i) start with an untrained net; (ii) determine the output from a given input; (iii) compare the output to the target output and compute an error; and (iv) adjust the weights in order to reduce the error.

The most widely used learning algorithm is the backpropagation algorithm, which was introduced by Rumelhart, Hinton & Williams (1986). This algorithm finds the local minimum of the error function:

$$\varepsilon = \frac{1}{2} \sum_k (t_k - o_k)^2, \quad (2)$$

where  $t_k$  represents the desired or target output values and  $o_k$  is the predicted output from the neuron. Using the gradient descent method, it can be shown that the update to the weights from the hidden layer to the output layer is given by

$$\Delta w_{jk} = -\eta \left( \frac{\partial \varepsilon}{\partial w_{jk}} \right) = \eta \delta_j h_k, \quad (3)$$

with  $\eta$  known as the learning rate,  $\delta_j = (t_j - o_j) f'(i_j)$  (where the activation function,  $f$ , is differentiable) and  $h_k$  is the output from the preceding hidden neuron. A similar expression can be found for the variation of the weights between the input and hidden layers.

It is clear that if the surface corresponding to the error function has multiple local minima then this method, as originally defined, will only guarantee convergence towards one of the minima but

not necessarily to the global minimum. However, this is not an insurmountable problem since, during the first steps of the gradient descent, the weights will gradually move towards the global minimum. Moreover, in the worse case scenario, the weights will converge to a local minimum in the vicinity. There are several methods to avoid this behaviour: we could add an extra factor to equation (3),  $\beta \Delta w_{jk}^0$ , called the momentum, which has the same direction as the previous step change,  $\Delta w_{jk}^0$ , and is controlled by the coefficient  $\beta$ . Alternatively, we can train the network several times using the same training sample but with different initial random weights. The latter approach is the one we will follow in this paper. A further refinement is the resilient backpropagation algorithm (Riedmiller & Braun 1993), in which instead of adopting the full change in the weights specified by equation (3), we only use the sign of the derivative multiplied by a constant. We also adopt this method in our network. The resilient backpropagation algorithm has the advantage of being one of the fastest learning algorithms.

In an ideal situation, the ANN would, of course, find the optimal set of weights such that the error function is minimized. However, there is an important aspect that we have to bear in mind. One of the reasons why we use ANNs is that we need to achieve generalization; i.e. it is more important to find the network that best fits the testing or validation set, than it is to find the minimum of the error function for the training set. In fact, if the net is overtrained, it will start to fit the noise associated with the data instead of the underlying signal. This leads to overfitting and consequently may affect the performance of the ANN on the validation sample. One of the procedures deployed to avoid overfitting is to use a so-called early stop. In this case, the training process is terminated when either the error function reaches a pre-defined threshold or a maximum number of iterations is reached. The latter choice is adopted in this paper.

Finally, a brief word about the form of the activation function. As we saw, the activation function plays an important role in the neural network. It enables us to activate or deactivate neurons and adds the non-linearity needed to solve complex problems. Evidently, activation functions only make sense for the hidden and output layers, not for input layers. There are many activation functions; in fact, any non-linear function would fit the bill. The most common ones are: the sigmoid function,  $f(x) = 1/(1 + e^{-\alpha x})$ , where  $\alpha$  is the steepness; the Gaussian,  $f(x) = e^{-(\alpha x)^2}$ ; and the Elliot,  $f(x) = \alpha x/(1 + |\alpha x|)$ . Our default choice is the sigmoid function; we contrast the performance of the ANN with this activation function against some of the others listed above in Section 4.5.3.

## 4 APPLICATION OF ANN TO GALFORM PLUS GRASIL

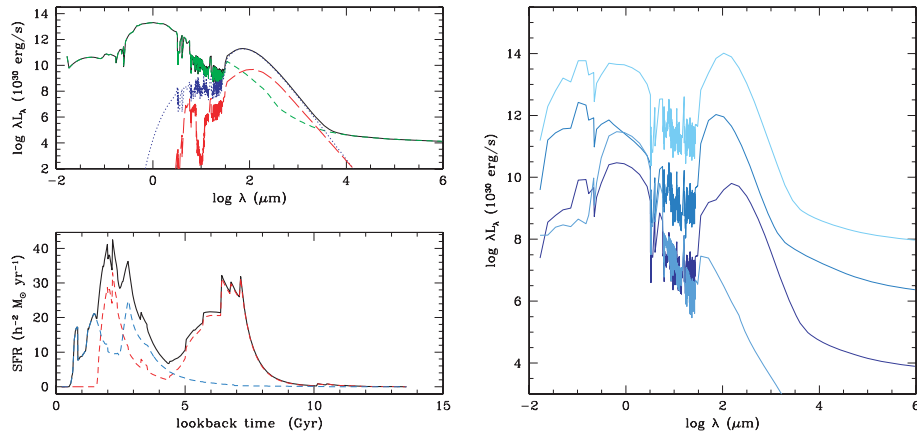
Our main objective is to predict a galaxy’s SED using a small set of its physical properties as predicted by GALFORM. Due to the complexity of the individual spectra and the wide range of SEDs found in a population of galaxies, this is far from a simple proposition. In this section, we explain how we use the ANN to predict spectra or luminosities, showing the first results and discussing some performance issues.

### 4.1 Training and testing samples

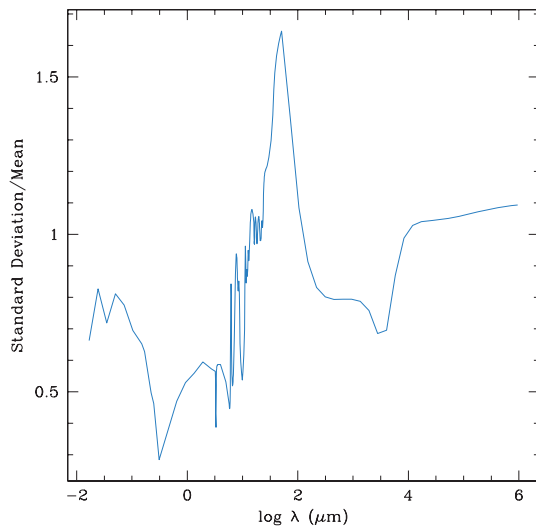
The training process is crucial for ANNs. The better the network learns about the characteristics of the training set, the better it will perform when predicting the spectra of a new set of galaxies.

The galaxy spectra calculated by GRASIL are far from simple. As noted in Section 2.1, GRASIL calculates the stellar emission, dust





**Figure 1.** Example galaxy SEDs, as computed by GRASIL using star formation histories predicted by GALFORM. In the top left-hand panel, we show the different components of a galaxy spectrum: the black line shows the total SED, which is the result of adding the extinguished star light (green short-dashed line), and the emission from diffuse cirrus (blue dotted line) and molecular clouds (red long-dashed line) emission. The stellar contribution plotted here includes emission from dust in the envelopes of AGB stars, and also thermal and synchrotron radio emission at long wavelengths. This galaxy’s star formation history is plotted in the bottom left-hand panel: the dashed red and blue lines show the contribution of quiescent star formation in the disc and bursts triggered by galaxy mergers, respectively, to the total star formation rate (black line). Selected examples of total spectra are shown in the right-hand panel.



**Figure 2.** The ratio between the standard deviation and the mean of GRASIL spectra for a representative sample of GALFORM galaxies. The spectra were all normalized by dividing by the bolometric luminosity.

extinction and dust emission, using the star formation and metal enrichment histories predicted by GALFORM. As a consequence, GRASIL spectra are complex and varied. The SEDs we compute from GRASIL comprise, in our application, 456 wavelength bins (this number can be varied in GRASIL), so the output has a high dimensionality. Fig. 1 shows some examples of spectra produced by GRASIL. In the left-hand panel, we plot the SED of a randomly selected galaxy (black line), showing the different contributions (extinguished starlight, molecular dust clouds and diffuse dust). The mid-IR emission in this particular galaxy is dominated by PAH molecular bands and the far-IR by cirrus (diffuse dust) emission. Further examples of total galaxy SEDs are shown in the right-hand panel of this plot.

Fig. 2 shows a quantitative view of the complexity of the spectra output by GRASIL for a population of galaxies. We plot the ratio between the standard deviation and the mean of the spectra for a representative sample of galaxies. This plot shows that the UV,

mid-IR and the microwave regions of the spectrum show the most variety in galaxy SEDs. The visible and far-IR parts of the model spectra show, by comparison, less variance.

Each spectrum is composed of 456 flux bins, so our first approach will be to set the number of output neurons in our net to be 456, one for each flux bin. Later on we will try different methods in order to reduce the dimensionality and variance of the output space. For use in the ANN, we first normalize the total luminosity in each SED to unity. We then assign the logarithm of the flux at each wavelength to these outputs in order to reduce the dynamic range of the training data.

The selection of the input for the ANN is less straightforward. The natural choice would be to adopt the same input as used directly by GRASIL to create the spectra, i.e. the star formation and metal enrichment histories along with the gas mass and metallicity, and the scalelengths of the disc and bulge. However, this is hard to implement due to the enormous number of input variables implied (more than 3000 taking into account the different time-steps and bins of metallicity in which the star formation histories are stored). This, in turn, would represent a substantial amount of computing time and complexity for the learning process. To keep things simple, we decided to use a small set of galaxy properties, measured at the output redshift at which the galaxy’s SED is required. After some investigation, we found that a useful set of galaxy properties to serve as input to the ANN is: total stellar mass, stellar metallicity, bolometric luminosity, circular velocity of the disc measured at the half-mass radius, the effective circular velocity of the bulge, disc and bulge half-mass radii, V-band luminosity weighted age, V-band dust extinction optical depth, metallicity of the cold gas, the mass of stars formed in the last burst and the time since the start of the last burst of star formation. (Recall bursts are triggered by galaxy mergers or by discs becoming dynamically unstable; the latter process does not operate in the Baugh et al. model which is used as an example in this paper.) We therefore construct an input layer with 12 galaxy properties. It is important to note that this set of input galaxy properties has been tuned for the Baugh et al. (2005) model at  $z = 0$ . For a different model, the ANN might perform better with a different set of galaxy properties as inputs. We also note that the above list of input galaxy properties includes the

circular velocities of the disc and bulge – these properties affect the SED through their effect on the efficiency of supernova feedback and on the star formation time-scale.

In this section, the training and testing samples were extracted from a large catalogue of galaxies from the Baugh et al. model at  $z = 0$ , following a similar procedure to that used by Granato et al. (2000). The GALFORM catalogue is sampled to give equal number of galaxies in logarithmic bins of total stellar mass.<sup>1</sup> This strategy yields 1945 galaxy spectra for the training set, each of which is composed of 456 flux bins, i.e. a  $1945 \times 456$  data array. A further set of 1898 galaxies were used as a validation sample.

## 4.2 Predicting spectra

To predict luminosities, we use a supervised feed-forward neural network composed of 12 neurons in the input layer (which correspond to the 12 galaxy properties listed above), 60 neurons in one hidden layer and 456 neurons in the output layer (which are set to be equal to the logarithm of the flux in each of the spectrum bins). The ANN architecture is therefore 12:60:456.

Unless otherwise specified, the following procedures and parameters were chosen: (i) in order to deal with the different ranges of the input and output properties, we subtract the mean (computed over the training sample) from each input and output and divide by the respective standard deviation; (ii) we adopt a sigmoid activation function; (iii) the maximum number of training epochs is set to 5000 (i.e. this is the criterion used to stop the training process); (iv) in order to guarantee convergence towards the global minimum of the error function (see previous section), we train the ANN 10 times using different initial random weights, and select the one that gives the smallest rms logarithmic error (see definition below) for the validation sample. Later in this section, we will show how the results change on modifying the ANN parameters.

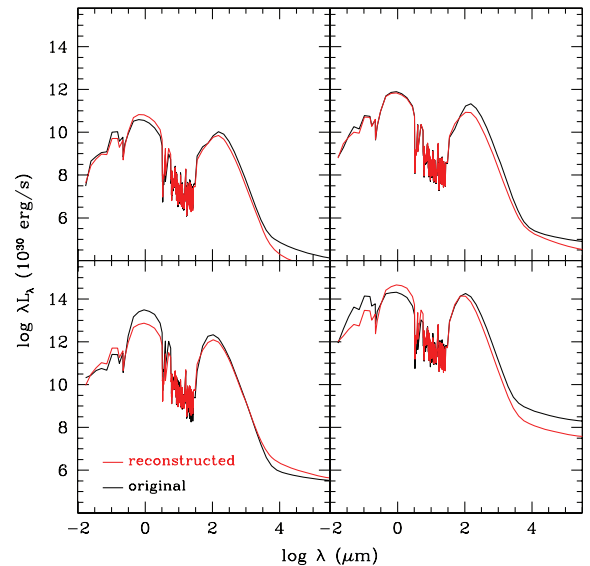
In Fig. 3, we plot four randomly selected examples of the spectra predicted by the ANN and compare these with the original spectra. Fig. 3 shows that even without further optimization, the spectra predicted using the ANN agree, on the whole, reasonably well with the original spectra, particularly at visible and near-IR wavelengths. However, in certain wavelength ranges, some galaxies exhibit predicted luminosities which differ by more than an order of magnitude from their true values.

To gain a more quantitative feel for the performance of the ANN, we plot in Fig. 4 the ratio of the predicted to original luminosity for a few representative wavelength bins: the Focal Corrector Anastigmat (FOCA) balloon-borne camera  $0.2 \mu\text{m}$ , B ( $0.44 \mu\text{m}$ ), the Infra Red Array Camera (IRAC) on *Spitzer*  $8 \mu\text{m}$ , Multiband Imaging Photometer for *Spitzer* (MIPS)  $24 \mu\text{m}$ , Photodetector Array Camera & Spectrometer (PACS) for *Herschel*  $100 \mu\text{m}$  and SCUBA  $850 \mu\text{m}$  bands. The statistics of the distributions are also summarized in Table 1. Here, the root mean squared logarithmic error is defined as

$$\varepsilon_L = \sqrt{\frac{1}{n} \sum_{i=1}^n [\ln(L_{\text{predicted}}/L_{\text{original}})]^2}, \quad (4)$$

and  $P_{|e| < 10 \text{ per cent}}$  gives the percentage of galaxies with predicted luminosities which lie within 10 per cent of the true values. Note that  $\varepsilon_L$  has a similar form to the error function which the ANN attempts to minimize, as given in equation (2).

<sup>1</sup> In a later section, we will use also galaxies which have an ongoing burst or which recently experienced a burst. In this case, the sample is constructed using logarithmic bins of burst mass instead.



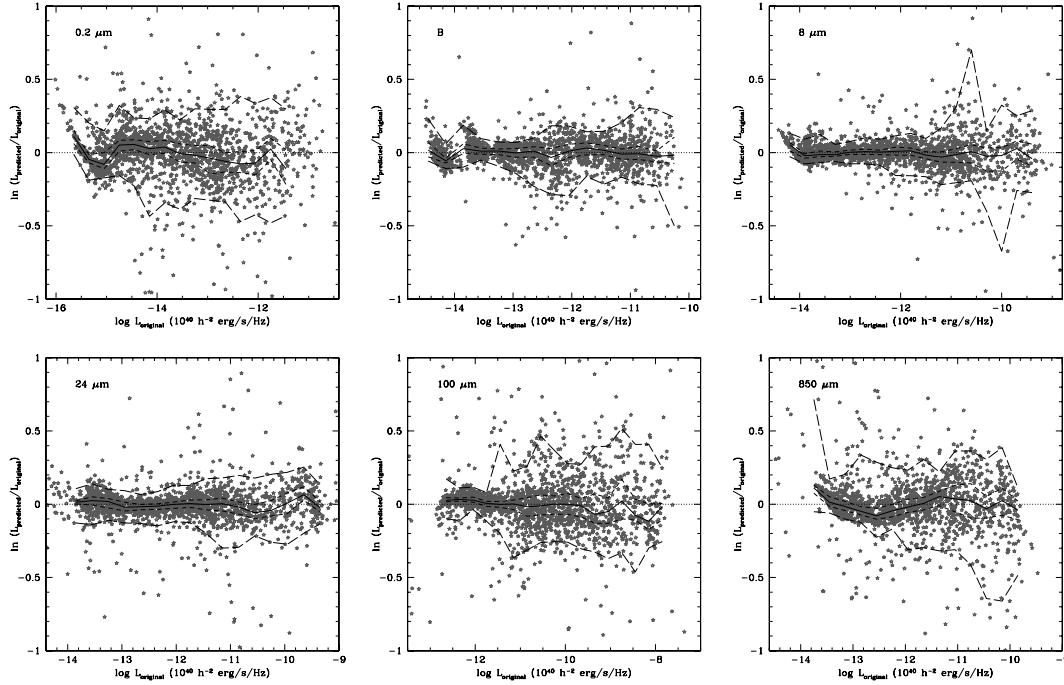
**Figure 3.** Four randomly selected examples of galaxy SEDs predicted by the ANN (red) compared with the SEDs calculated directly by GRASIL (black).

Fig. 4 shows that overall there is reasonable agreement between the predicted and original luminosities in the analysed bands. For most galaxies, the predicted luminosity lies within 20 per cent of the original luminosity. The performance of the ANN is wavelength dependent, with the results for the B, near- and mid-IR bands being better than those for the UV, far-IR and sub-mm bands. This is mainly due to the increased variance of the spectra in these later regions (see Fig. 2). We obtain a value of  $\varepsilon_L = 0.22$  in the UV  $0.2 \mu\text{m}$ ,  $\varepsilon_L = 0.12$  in the *B* band,  $\varepsilon_L = 0.15$  in the near- and mid-IR,  $\varepsilon_L = 0.25$  in the PACS  $100 \mu\text{m}$  band and  $\varepsilon_L = 0.32$  in the SCUBA  $850 \mu\text{m}$  band. Also, the error has a tendency to increase with luminosity, as revealed by the broadening of the 5th–95th percentile range of the distribution. This suggests that the ANN has more difficulty dealing with bright galaxies. This could be due to the greater complexity of the star formation histories of these galaxies, with the mechanism invoked to suppress the formation of bright galaxies playing an increasingly important role for more luminous galaxies (i.e. superwind feedback in the case of Baugh et al. 2005). This in turn will induce an increase in the variety of spectra produced by GRASIL.

In summary, this first attempt to predict spectra from a given set of galaxy properties, using ANNs, has proven to work quite well. For most of the spectral range, we find that around 75 per cent of the predicted spectra deviate by 10 per cent or less from the true spectrum. However, there is a considerable error associated with this method, particularly for those wavelengths where the dust emission dominates over the stellar emission. In such cases, we found a high value of the statistic  $\varepsilon_L$  and a reduction in the percentage of galaxies with predicted luminosities within 10 per cent of the true value.

## 4.3 Incorporating principal component analysis of the spectra

A simple way to speed up the ANN and to potentially boost its accuracy is to reduce the dimensionality of the output, which in our case is the number of bins used to describe the spectrum. The reason for this is clear: the ANN should converge more rapidly to a trained network, because there are fewer weights to be adjusted. The dimensionality of the spectra can be reduced by using a principal components analysis (PCA). The PCA works by finding patterns in



**Figure 4.** The logarithm of the ratio of the luminosity predicted by the ANN to the true luminosity, at selected wavelengths, for the case in which the ANN predicts the full SED. From top to bottom, left to right the panels show this ratio for the FOCA 0.2  $\mu\text{m}$ , B (0.44  $\mu\text{m}$ ), IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands. The solid, short- and long-dashed lines show the median, the 33rd–66th and 5th–95th percentiles of the distribution, respectively.

**Table 1.** Summary statistics for the distribution of the error on the spectra predicted by the ANN, when using the entire spectrum as the ANN output layer.

Band	$\varepsilon_L$	$P_{ e <10\text{ percent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
Bolometric	0.19	73.8	−33.2	−4.2	0.2	5.9	28.4
FOCA 0.2 $\mu\text{m}$	0.22	50.7	−71.3	−9.8	−0.5	9.9	53.4
B (0.44 $\mu\text{m}$ )	0.12	75.8	−38.3	−5.1	0.2	5.6	31.6
IRAC 8 $\mu\text{m}$	0.15	79.7	−48.7	−3.7	0.7	4.5	34.1
MIPS 24 $\mu\text{m}$	0.15	77.5	−51.4	−4.3	0.1	5.0	37.9
PACS 100 $\mu\text{m}$	0.25	57.4	−96.1	−6.7	−0.9	9.1	52.4
SCUBA 850 $\mu\text{m}$	0.32	59.8	−177.1	−7.5	1.6	8.6	54.1

*Note.* We give errors on the predicted bolometric luminosity and for four different bands: FOCA 0.2  $\mu\text{m}$ , B (0.44  $\mu\text{m}$ ), IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ .  $\varepsilon_L$  is the rms error given by equation (4).  $P_{|e|<10\text{ percent}}$  shows the percentage of galaxies with predicted luminosities within 10 per cent of the true values.  $p_1, Q_1, Q_2, Q_3, p_{99}$ , give the 1st percentile, 1st quartile, median, 3rd quartile and 99th percentile of the error distribution, respectively.

the data set, producing a new set of linear, orthogonal basis vectors, which describe the directions of maximum variance. Hence, the spectra can be represented, to a high level of accuracy, by a small number (e.g. around 10) of basis vectors (each of which is 456 wavelength bins long).

The starting point of the PCA is the data set of  $n$  galaxy spectra (again normalized to unit total luminosity and taken as logs), each of which is described by a  $m$ -dimensional vector,  $\mathbf{x}$  (in our case  $m = 456$ ). The data sample consists of  $n \times m$  data points. The first step of the PCA is to subtract the mean from all the data dimensions, such that the mean of the  $m$  data vectors is zero:

$$\xi_{ij} = x_{ij} - \bar{x}_j, \quad (5)$$

where  $i = 1, n$  and  $j = 1, m$ , and the mean is

$$\bar{x}_j = \frac{1}{n} \sum_{i=1}^n x_{ij}. \quad (6)$$

We then compute the covariance matrix

$$C_{jk} = \frac{1}{n} \sum_{i=1}^n \xi_{ij} \xi_{ik}, \quad (7)$$

where the variances of each variable are given by

$$s_j^2 = \frac{1}{n} \sum_{i=1}^n \xi_{ij}^2. \quad (8)$$

To find the axes of maximum variance, we find the eigenvectors and eigenvalues of the covariance matrix:

$$C\mathbf{e}_j = \lambda\mathbf{e}_j. \quad (9)$$

Note that we have assumed that the data set can be represented by a linear combination of the new eigenvectors.

The next step is to sort the eigenvectors in order of decreasing eigenvalue, which corresponds to decreasing variance. This is when the reduction of dimensionality is made. We can decide how many



eigenvectors to retain, based on how many eigenvectors we think are sufficient to describe the original data to some desired level of accuracy. We keep the eigenvectors with the largest eigenvalues, which correspond to the axes along which the variance is the highest. Once we have selected  $p$  eigenvectors, we are ready to project our original data on to the new basis, thereby retrieving the principal components (PCs) of the spectra:

$$\mathbf{A} = \xi \mathbf{E}_p. \quad (10)$$

To go back to the original basis, from  $p \leq m$  eigenvectors, and to (partially) reconstruct the original data, we use

$$\mathbf{x}_{\text{rec}} = \mathbf{A} \mathbf{E}_p^{-1} + \bar{\mathbf{x}}. \quad (11)$$

If the entire set of PCs is used, no information is lost and the full spectrum can be recovered. The first eigenvector describes approximately 80 per cent of the total spectrum: most of the stellar light and emission from the diffuse ISM components are retrieved with one eigenvector. The second component can be attributed partially to molecular clouds (some PAH features and peak around 100  $\mu\text{m}$ ) and to the emission from young stellar populations; this eigenvector describes another 15 per cent of the spectral features. With 20 PCs, we find that we can extract 99 per cent of spectral information.

The implementation of this method in the ANN framework is straightforward. We use the same input layer as in Section 4.2, i.e. 12 neurons corresponding to the selected galaxy properties, and 60 neurons will be used in the hidden layer. The number of output neurons is defined by the number of PCs we use. Once we establish this number, and having calculated the PCs and eigenvectors of the training sample, we use the ANN to predict the PCs instead of the full spectrum. The network architecture in the case of  $p$  PCs is 12:60: $p$ . The final step is to reconstruct the full spectrum from the predicted PCs, using equation (11), where  $\mathbf{E}_p$  and  $\bar{\mathbf{x}}$  are the eigenvectors and mean of the training sample, and  $\mathbf{A}$  is the predicted PCs. We use the same procedures and ANN parameters as defined in the previous section.

The statistics of the error distribution for this method are presented in Table 2. Using the PC decomposition instead of the full spectrum does not lead to a dramatic improvement in the accuracy of the ANN. The results achieved with this technique are similar to those in the previous section, with, perhaps, a slight improvement: approximately 80 per cent of the predicted spectra now show bolometric luminosities which lie within 10 per cent of the original value, and  $\varepsilon_L = 0.19$ . Similar results are obtained for the different bands. Interestingly, both methods show similar difficulties when predicting the spectra, i.e. if a particular spectrum was not forecasted accurately by the method described in the previous section, then it is likely that it will differ substantially from its original value when

**Table 3.** Summary statistics of the distribution of the predicted bolometric luminosity, for ANNs using different number of PCs (see Table 1 for a description of the quantities).

Number of PCs	Bol. $\varepsilon_L$	$P_{ e  < 10 \text{ per cent}}$
1	0.79	16.5
3	0.27	27.8
5	0.22	62.2
10	0.20	76.6
20	0.19	78.3
50	0.18	77.7
100	0.19	79.2
456	0.20	81.1

the PCs are used to describe the spectrum. This is expected because with 20 PCs we only lose 1 per cent of the spectral information.

The main advantage of compressing the spectra using PCA is the reduced computing time compared with using the full spectrum: the time required is reduced by a factor of  $\sim 15$ .

Table 3 shows the errors associated with the bolometric luminosities of predicted spectra, using ANNs which represent the spectra with different numbers of PCs. The ANN predictions using PCA do not significantly improve when using more than 10 PCs, as indicated by the percentage of spectra within 10 per cent of error, which is approximately constant at  $\approx 80$  per cent. We remind the reader that most of the wavelength range of the spectrum can be reconstructed with 10 or more PCs. Hence, we expect the ANN to behave in a similar way as it does when using the full spectrum.

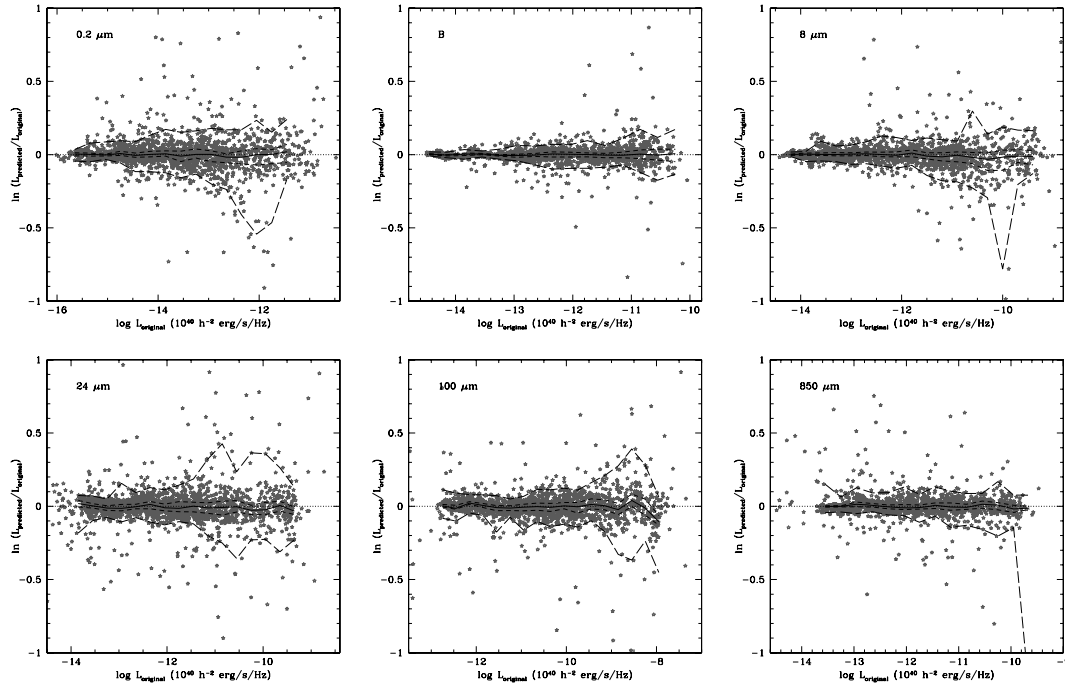
#### 4.4 Predicting the luminosity in a single band

As we saw in the previous section, using just a few PCs of the spectrum, instead of the full 456 flux bins, facilitates the training process due to the reduced number of internal ANN weights which need to be adjusted. However, the gain in accuracy is marginal. In this section, we explore another possible route to improve the accuracy of the ANN: the prediction of the luminosity in a single band instead of the full spectrum. The ANN becomes simpler in the sense that we only need to predict one variable, the bandpass luminosity. We would naturally expect the ANN to perform better for one band than in the case of trying to predict the full spectrum, as the power of the ANN is focused over a narrow range of wavelength. However, there is one drawback. If we require the luminosity in a

**Table 2.** Summary statistics for the error distribution of the spectra predicted by the ANN when using 20 PCs as the output layer.

Band	$\varepsilon_L$	$P_{ e  < 10 \text{ per cent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
Bolometric	0.19	78.3	-33.8	-4.2	0.5	5.4	27.7
0.2 $\mu\text{m}$	0.20	58.4	-64.2	-8.0	0.4	8.4	47.1
B (0.44 $\mu\text{m}$ )	0.11	74.5	-31.6	-4.2	0.6	5.2	28.3
8 $\mu\text{m}$	0.16	78.7	-80.2	-3.9	0.5	4.3	30.7
24 $\mu\text{m}$	0.16	77.9	-83.7	-4.0	0.3	4.0	33.1
100 $\mu\text{m}$	0.27	62.8	-102.3	-6.1	0.4	7.7	58.9
850 $\mu\text{m}$	0.32	63.1	-143.1	-6.8	0.2	7.0	57.7

*Note.* Statistics are quoted for the bolometric luminosity and four different bands: FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ . The description of the various quantities is given in Table 1.



**Figure 5.** The logarithm of the ratio of predicted to true luminosity, using the ANN applied to the prediction of a single band. From left to right, top to bottom we plot the ratios for the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands. The solid and dashed lines have the same meaning as in Fig. 4.

**Table 4.** Summary statistics for the distribution of the error in the luminosities (FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ ) predicted by the ANN, when using one output neuron. See description of quantities in Table 1.

Band	$\varepsilon_L$	$P_{ e  < 10 \text{ per cent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
0.2 $\mu\text{m}$	0.14	80.1	-49.4	-3.4	0.0	3.6	36.6
B (0.44 $\mu\text{m}$ )	0.07	95.3	-17.5	-1.5	0.0	1.7	16.5
8 $\mu\text{m}$	0.16	88.5	-43.4	-2.2	0.0	2.9	28.8
24 $\mu\text{m}$	0.15	82.4	-63.8	-3.8	0.1	4.2	36.8
100 $\mu\text{m}$	0.16	81.0	-54.0	-3.6	0.0	3.8	35.7
850 $\mu\text{m}$	0.24	89.1	-66.1	-2.5	0.0	2.3	29.5

range of bands, then with this approach we would need to train the ANN for each band in turn.<sup>2</sup>

To predict band luminosities, we need to preprocess the training set spectra to calculate luminosities in a predefined set of bands (in this section we use the following bands: FOCA 0.2  $\mu\text{m}$ , B (0.44  $\mu\text{m}$ ), IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ ). We will start with a network configuration of 12:60:1, i.e. 12 neurons in the input layer, one hidden layer with 60 neurons each and one output neuron corresponding to the desired luminosity. The ANN is trained separately for each of the selected bands, using procedures and parameters similar to those used in Section 4.2. The results are shown in Fig. 5, and the distribution of the predicted luminosity is given in Table 4.

The approach of training the ANN to predict one band at a time performs much better than the previous neural nets. The proportion of galaxies with predicted luminosities which are within 10 per cent of the true luminosity is significantly higher than before, 86 per cent

compared with  $\sim 67$  per cent, when the full spectra were used as the output. A similar improvement is seen in the rms logarithmic error. The results are particularly impressive for the *B*-band, where  $\varepsilon_L = 0.07$  and more than 95 per cent of the population have predicted luminosities within 10 per cent of the original. As with the previous ANN, the results in the UV and mid-IR to sub-mm bands are not as good as in the *B*-band, due to the increased variety in the model spectra at these wavelengths. Nevertheless, the performance of the ANN is still markedly better than before even at these wavelengths (see Fig. 2). It is also apparent from this plot that the scatter around the desired relation increases slightly with the luminosity of the galaxy. No correlation was found between the errors and the other galaxy properties at the output redshift.

As mentioned earlier, there is also the possibility of using the ANN to predict  $n$  luminosities at a time. The advantage of this is that we would only need to train the network once, instead of having to train it  $n$  times, once for each band. Table 5 lists the statistics of the error distribution using this procedure. The ANN is trained using six neurons in the output layer, one for each band (FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ ). This variant method performs slightly worse than the case in which

<sup>2</sup> Note that later on we explore the performance of the ANN when predicting more than one band at a time.

**Table 5.** Summary statistics for the distribution of the error on the luminosities predicted by the ANN, using six output neurons: FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$ . For a description of the quantities see Table 1.

Band	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
0.2 $\mu\text{m}$	0.16	79.1	−56.3	−3.9	0.1	4.0	34.4
B (0.44 $\mu\text{m}$ )	0.07	90.9	−23.2	−1.8	0.1	2.4	16.5
8 $\mu\text{m}$	0.11	87.4	−33.0	−2.9	−0.1	3.2	19.4
24 $\mu\text{m}$	0.16	77.4	−58.1	−5.2	0.2	5.1	37.2
100 $\mu\text{m}$	0.19	70.4	−53.7	−5.8	0.3	5.1	42.5
850 $\mu\text{m}$	0.19	78.7	−47.2	−3.9	0.3	4.3	34.5

the ANN is trained six times, once for each of the luminosity bands, with 79, 91, 87, 77, 70 and 79 per cent of galaxies with predicted luminosities within 10 per cent of the true luminosity, in the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands, respectively. Nevertheless, for most bands it still outperforms the first two methods that we explored in which the full spectrum was predicted.

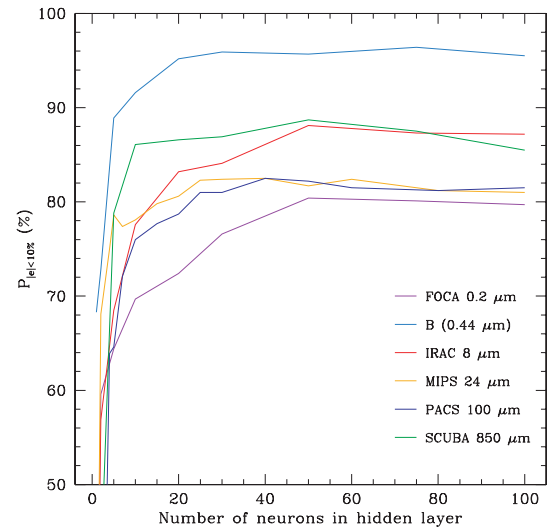
Unless otherwise stated, from now on we focus on the predictions of the best performing method as described at the beginning of this section, namely using the ANN to predict the luminosity in one band at a time.

#### 4.5 Performance for different ANN choices

In this section, we explore the architecture of the ANN, along with some of the parameter space of the ANN, the sample extraction and the effect of using different redshifts.

##### 4.5.1 Architectures

So far, we have used a supervised feed-forward neural network with 12 input neurons, one hidden layer with 60 neurons and an output layer with one or more neurons, depending on the method under consideration. The number of input and output neurons are effectively determined by the setup of the problem. On the other hand, the number of hidden layers and the number of neurons each contains are parameters that are more subjective. Currently, there is no clear consensus on how many hidden units should be used (see Scarselli & Tsoi 1998). Each application will have its optimal set of parameters, which can only be found by trial and error. In Fig. 6, we show the evolution of the percentage of galaxies with predicted luminosities which lie within 10 per cent of the true luminosity, as a function of the number of neurons used in the hidden layer of the ANN. Each curve shows the performance for a different filter. The training process for all configurations was stopped after 5000 epochs. We see that there is little variation in the performance of the network for architectures with more than 20–30 neurons in the hidden layer. For two hidden layers, the results are similar to those found for one layer, for the same total number of neurons. However, in some cases, for example the FOCA 0.2  $\mu\text{m}$  band, the use of two hidden layers seems to help slightly in terms of the  $P_{|e|<10\text{ per cent}}$ , which changes from 80.4 to 82.5 per cent for one and two layers, respectively (there is also a reduction of the SCUBA 850  $\mu\text{m}$   $\varepsilon_L$  by a factor of  $\approx 2$ , when two layers are used). The use of more than two layers does not improve the results further. Henceforth, we will use a configuration of 12:30:30:1 throughout this paper.



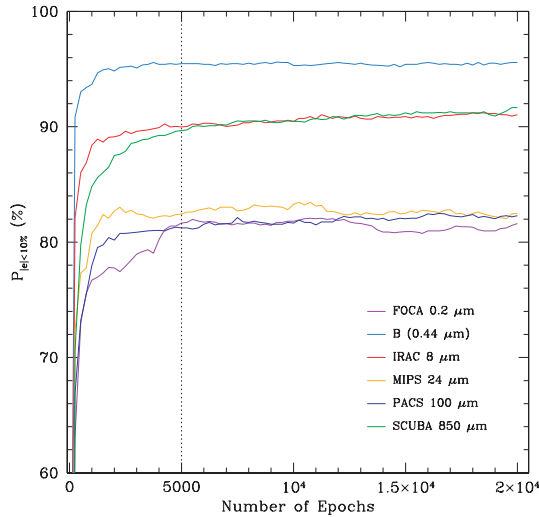
**Figure 6.** The evolution of the percentage of galaxies with predicted luminosities which lie within 10 per cent of the true luminosity, as a function of the number of neurons in the hidden layer. For all configurations tested, we stopped the training process after 5000 epochs. The violet, light blue, red, orange, dark blue and green lines show the results for the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands, respectively.

##### 4.5.2 Number of training epochs

As explained in Section 3, two methods can be used to stop the training process in order to avoid overfitting: applying a pre-defined error threshold or setting a maximum number of epochs. In this paper, we use a maximum number of epochs, 5000, as the criterion for early stopping. In Fig. 7, we show how the percentage of galaxies with predicted luminosities within 10 per cent of the true luminosity ( $P_{|e|<10\text{ per cent}}$ ) depends on the number of epochs, when using a network configuration of 12:30:30:1. All the four networks show rapid convergence: after 1000 iterations,  $P_{|e|<10\text{ per cent}}$  in the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands are 78, 92, 88, 81, 78 and 85 per cent, respectively. The plot shows that, after 5000 epochs, the networks have already converged to their optimal states, after which there is no notable change in the  $P_{|e|<10\text{ per cent}}$ . The value of 5000 epochs was kept as the early stop parameter for the training of the ANN.

##### 4.5.3 Choice of activation function

Activation functions are needed in order to add non-linearity to the training process. So far, for the hidden neurons, we have been using the sigmoid function, given by  $f(x) = 1/(1 + e^{-\alpha x})$ , where



**Figure 7.** The dependence of the percentage of galaxies with predicted luminosities within 10 per cent of the true luminosity,  $P_{|e|<10\text{ per cent}}$ , on the number of training epochs, for an ANN configuration of 12:30:30:1. The violet, light blue, red, orange, dark blue and green lines show the results for the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands, respectively. The dotted line shows 5000 training epochs, for reference.

the coefficient  $\alpha$  is commonly referred to as the steepness of the activation function; the steepness of choice is  $\alpha = 0.02$ . For the output neurons, the linear activation function,  $f(x) = \alpha x$ , was adopted.

In Table 6, we quote the performance of ANNs with different activation functions for the hidden layers for the FOCA 0.2  $\mu\text{m}$ , B, IRAC 8  $\mu\text{m}$ , MIPS 24  $\mu\text{m}$ , PACS 100  $\mu\text{m}$  and SCUBA 850  $\mu\text{m}$  bands. As expected, the best results are achieved with the non-linear functions. The sigmoid activation function seems to slightly outperform the Elliot and Gaussian ones. A linear activation function should have the effect of removing all non-linearity from the neural network training, and consequently the ANN will be no more than a simple perceptron (see Section 3). As is clear from Table 6, the capabilities of neural network are greatly reduced when we use

only linear correlations. The errors associated with the predicted luminosities increase, which leads to merely 11 per cent of galaxies with errors smaller than 10 per cent in the SCUBA 850  $\mu\text{m}$  band. Similarly poor results are obtained in the other bands.

In view of these results, we will use the sigmoid activation function throughout this paper.

#### 4.6 ANN Performance: normal and burst galaxy samples

Until now, we have been using a sample extracted from the GALFORM catalogue following a similar procedure to that outlined in Granato et al. (2000) for ‘normal galaxies’ (see definition below). In this section, we distinguish between quiescent and burst galaxies, and analyse the performance of the ANN in both cases. Quiescent and burst galaxies are sampled differently in the Baugh et al. (2005) model at  $z = 0$ . It is rare to catch a galaxy undergoing a starburst, so it is necessary to sample the bursts carefully to build up a statistical sample. In the present work, we do not calculate the burst spectrum for a fixed set of times after the start of the burst, as done in Granato et al. (2000). Instead, we enlarge the burst sample by simply increasing the volume of the simulation run in GALFORM.

A galaxy is considered to be a burst galaxy, i.e. an ongoing burst or a recent burst in which the stars formed in the burst still have an impact on the SED, if  $t_{\text{burst}} \leq 10\tau_e$ , where  $t_{\text{burst}}$  is the time since the start of the most recent burst, and  $\tau_e$  is the effective e-folding time for the starburst (we assume that the burst terminates after three e-folds). In Table 7, we show the performance of the ANN when applied to the quiescent and the burst samples separately. Here, the ‘normal sample’ represents the sample extracted from the GALFORM catalogue using the procedure described previously, selecting equal number of galaxies in logarithmic bins of total stellar mass. This selection also picks a small fraction of galaxies that are undergoing a burst. The quiescent sample is a ‘bursts-clean’ version of the normal sample, i.e. a normal sample for which we selected galaxies with  $t_{\text{burst}} > 10\tau_e$  or which had no burst in their history. The burst sample was extracted differently, selecting equal number of galaxies in logarithmic bins of mass of stars formed in the most recent burst of star formation. Table 7 clearly shows the difficulty experienced by the network in predicting the spectra of burst galaxies, which is mainly due to the large variety in their spectra. When we train the

**Table 6.** The performance of neural nets with different hidden layer activation functions: Elliot, sigmoid, Gaussian and linear (see Table 1 for a description of the statistical quantities).

Activation function	0.2 $\mu\text{m}$		B		8 $\mu\text{m}$		24 $\mu\text{m}$		100 $\mu\text{m}$		850 $\mu\text{m}$	
	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$
Elliot	0.14	78.6	0.07	94.4	0.13	87.5	0.20	78.7	0.23	77.5	0.23	79.6
Sigmoid	0.14	80.1	0.07	95.3	0.16	88.5	0.15	82.4	0.16	81.0	0.24	89.1
Gaussian	0.16	77.9	0.07	94.6	0.14	87.7	0.18	83.1	0.16	82.0	0.27	74.6
Linear	0.55	20.5	0.22	49.7	0.39	22.0	0.29	41.8	0.52	18.8	1.08	11.0

**Table 7.** The performance of several neural networks, trained with different galaxy samples: ‘normal’, ‘quiescent’ and ‘bursts’ (see text for further details, and also Table 1 for a description of the quantities listed).

Network		0.2 $\mu\text{m}$		B		8 $\mu\text{m}$		24 $\mu\text{m}$		100 $\mu\text{m}$		850 $\mu\text{m}$	
Train	Test	$\varepsilon_L$	$P_{ e }$	$\varepsilon_L$	$P_{ e }$	$\varepsilon_L$	$P_{ e }$	$\varepsilon_L$	$P_{ e }$	$\varepsilon_L$	$P_{ e }$	$\varepsilon_L$	$P_{ e }$
Normal	Normal	0.14	80.1	0.07	95.3	0.16	88.5	0.15	82.4	0.16	81.0	0.24	89.1
Normal	Burst	0.70	15.6	0.58	20.3	0.55	21.6	1.25	9.8	0.99	18.4	1.37	11.6
Quiescent	Quiescent	0.12	81.2	0.04	97.1	0.08	89.1	0.10	87.8	0.09	88.6	0.07	94.8
Burst	Burst	0.22	59.9	0.07	88.7	0.15	63.9	0.22	54.8	0.16	64.2	0.47	79.1

**Table 8.** The performance of an ANN trained with galaxy samples extracted at different redshifts:  $z = 0$  and 2 (see Table 1 for a description of the quantities).

Network		0.2 $\mu\text{m}$		B		8 $\mu\text{m}$		24 $\mu\text{m}$		100 $\mu\text{m}$		850 $\mu\text{m}$	
Train	Test	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$
$z = 0$	$z = 0$	0.14	80.1	0.07	95.3	0.16	88.5	0.15	82.4	0.16	81.0	0.24	89.1
$z = 0$	$z = 2$	0.62	58.5	0.36	63.4	0.50	53.1	0.49	54.6	0.57	55.5	0.56	34.1
$z = 2$	$z = 2$	0.29	80.9	0.17	89.7	0.14	86.1	0.29	77.5	0.16	86.9	0.26	89.3

ANN using the normal sample and apply this to predict the burst sample, the accuracy of the ANN is greatly reduced. The six-band  $P_{|e|<10\text{ per cent}}$  average, in this case, drops from 86 to 16 per cent, mainly due to the 24  $\mu\text{m}$  and sub-mm bands. In order to improve these results, we constructed separate quiescent and burst samples as described above. Training and testing the network independently for these two samples produces better results. For quiescent galaxies,  $\varepsilon_L < 0.12$  for the six bands studied, with equally impressive results for the distribution of errors ( $\sim 90$  per cent of the galaxies show predicted luminosities with less than 10 per cent error). The results for burst galaxies are somewhat less impressive, particularly for the FOCA 0.2  $\mu\text{m}$  and MIPS 24  $\mu\text{m}$  bands, where  $P_{|e|<10\text{ per cent}} \sim 55\text{--}60$  per cent. However, they clearly outperform the ANN trained using the normal sample, with a six-band  $P_{|e|<10\text{ per cent}}$  average of 68 per cent. Henceforth, we shall train the ANN for burst and quiescent galaxy samples separately.

#### 4.6.1 ANN Performance: different output redshifts

It is necessary to analyse how the trained ANN performs at different redshifts. If it turned out to be the case that an ANN trained at one redshift performed equally well at other redshifts, then there would be no need to retrain the network to predict galaxy luminosities at different redshifts, thus saving computing time. So far, in this paper, we have analysed the ANN predictions only at redshift  $z = 0$ . Table 8 compares the rms logarithmic error and the percentage of galaxies with predicted luminosities (in the rest frame) that lie within 10 per cent of the original values, for normal samples (see definition above) at redshifts  $z = 0$  and 2. The network trained at  $z = 0$  performs reasonably well when used to predict the luminosities at  $z = 2$ : it

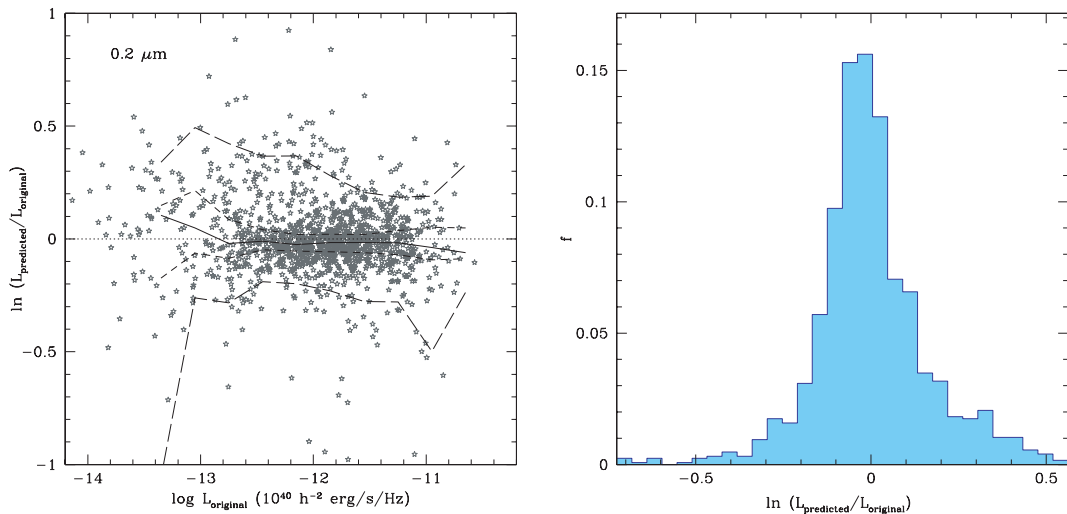
is capable of reproducing  $\approx 53$  per cent of the luminosities within an error of 10 per cent, and  $\varepsilon_L$  smaller than  $\sim 0.6$  at wavelengths of 0.2, 0.44 (B band), 8, 24, 100 and 850  $\mu\text{m}$ . However, it is strongly advisable to train the ANN at the redshift of choice, as indicated by the table. If we train the net at  $z = 2$  instead and apply it at  $z = 2$ , the forecasted luminosities are much more accurate (we achieve a six-band  $P_{|e|<10\text{ per cent}}$  average of 85 per cent). Our approach will be to train the ANN at each redshift for which it is applied.

#### 4.7 Error analysis

Previously, we tested the performance of the ANN when applied to predicting the quiescent and burst samples separately, and found that the errors associated with the prediction of the burst sample are larger than those for the quiescent galaxies. The percentage of accurately predicted luminosities was particularly low at UV wavelengths (approximately 60 per cent). We now analyse further the error distribution associated with the predicted FOCA 0.2  $\mu\text{m}$  band for the burst sample.

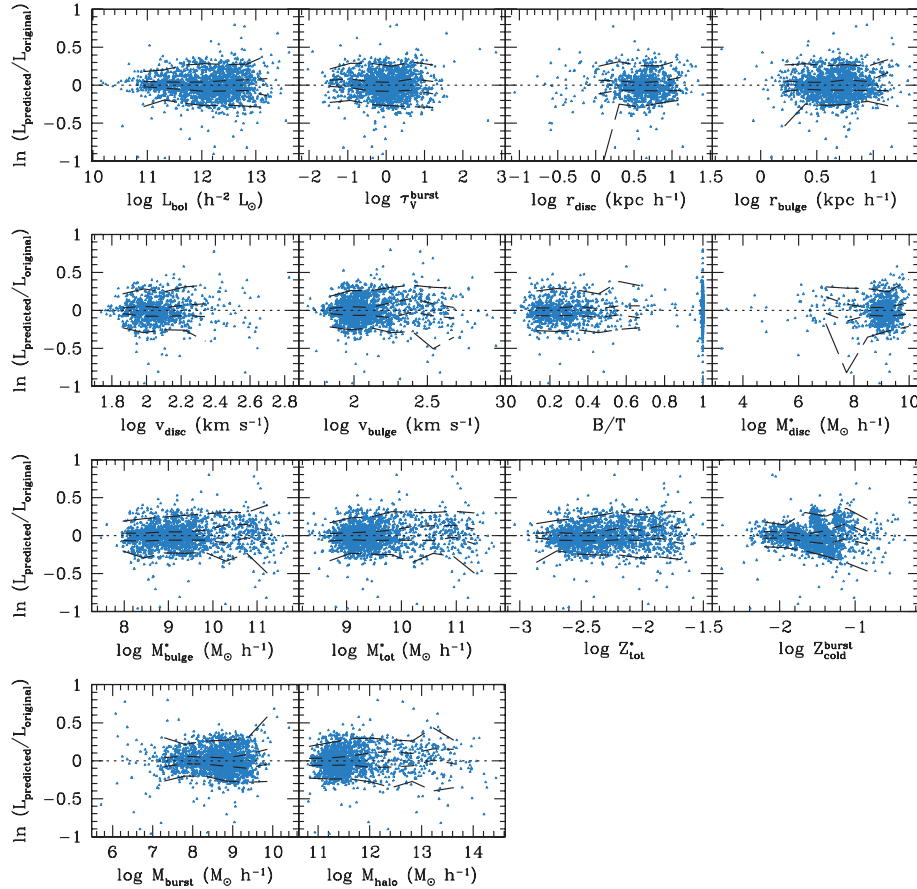
In Fig. 8, we plot the percentage error of the predicted luminosities as a function of their true, expected values. As presented in Table. 7, we find that  $\sim 60$  per cent have predicted luminosities within 10 per cent of the true values. The plot shows that the errors do not seem to be correlated with true UV luminosities. In fact, we find a weak correlation coefficient of 0.12. The independence of the errors from the luminosity will be an important factor when calculating luminosity-dependent quantities (e.g. luminosity functions) and sampling using luminosity.

In an effort to further reduce the error associated with the predicted luminosities, we also investigated the relation between the



**Figure 8.** The distribution of the error associated with the 0.2  $\mu\text{m}$  luminosities predicted by ANN, for a sample of burst galaxies at  $z = 0$ . In the left-hand panel, the solid and dashed lines have the same meaning as in Fig. 4. In the right-hand panel, the range of the x-axis is set to the 1st and 99th percentiles of the distribution, and the histogram is normalized to give  $\sum_i n_i = 1$ .





**Figure 9.** The relation between the logarithmic error associated with the  $\lambda = 0.2 \mu\text{m}$  luminosities predicted by the ANN and various galaxy properties, for the sample of burst galaxies. From left to right and top to bottom, we consider: bolometric luminosity ( $\log L_{\text{bol}}$ ), central  $V$ -band extinction optical depth for the burst component ( $\tau_V^{\text{burst}}$ ), disc and bulge size ( $r_{\text{disc/bulge}}$ ) and circular velocities ( $v_{\text{disc/bulge}}$ ), bulge-to-total mass ratio ( $B/T$ ), disc, bulge and total stellar mass ( $M_{\text{disc/bulge/tot}}^*$ ), stellar metallicity ( $Z_{\text{tot}}^*$ ) and the metallicity of the cold gas in the burst ( $Z_{\text{cold}}^{\text{burst}}$ ), the mass of stars formed in the last burst ( $M_{\text{burst}}$ ), and the mass of host halo ( $M_{\text{halo}}$ ). The meaning of the dashed lines is the same as in Fig. 4. The zero error line is plotted for reference (dotted line).

errors and various galaxy properties for the burst sample. In Fig. 9, we plot the logarithm of the predicted to true luminosity against: bolometric luminosity ( $\log L_{\text{bol}}$ ), central  $V$ -band extinction optical depth for the burst component ( $\tau_V^{\text{burst}}$ ), disc and bulge size ( $r_{\text{disc/bulge}}$ ) and circular velocities ( $v_{\text{disc/bulge}}$ ), bulge-to-total mass ratio ( $B/T$ ), disc, bulge and total stellar mass ( $M_{\text{disc/bulge/tot}}^*$ ), stellar metallicity ( $Z_{\text{tot}}^*$ ) and the metallicity of the cold gas in the burst ( $Z_{\text{cold}}^{\text{burst}}$ ), the mass of stars formed in the last burst ( $M_{\text{burst}}$ ), and the mass of host halo ( $M_{\text{halo}}$ ). The plot reveals no clear correlation between the error associated with the predicted  $0.2 \mu\text{m}$  luminosities and any galaxy property. The absolute value of the correlation coefficient is smaller than 0.05 for most of the properties, with slightly higher values ( $\sim 0.12$ ) found for disc size and stellar mass. This implies that any sample built using the ANN method will have errors which are decoupled from the galaxy properties. Different network configurations were tried in order to improve the performance, but without any notable success.

## 5 PREDICTING LUMINOSITY FUNCTIONS

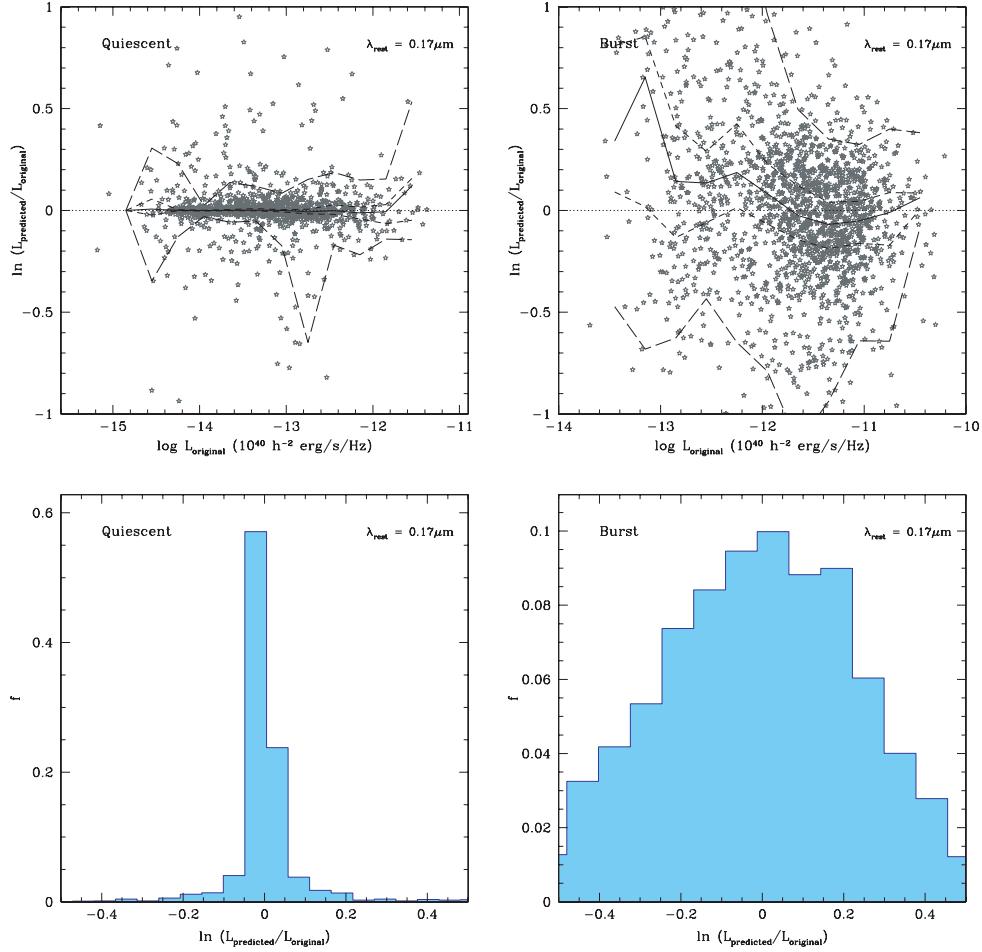
We are now ready to predict accurate luminosities for much larger GALFORM samples. In the previous section, we presented the first results from the new ANN technique. We calculated the luminosities for a sample of GALFORM galaxies in the FOCA  $0.2 \mu\text{m}$ , B ( $0.44 \mu\text{m}$ ), IRAC  $8 \mu\text{m}$ , MIPS  $24 \mu\text{m}$ , PACS  $100 \mu\text{m}$  and SCUBA

$850 \mu\text{m}$  bands, and found that for more of than 80 per cent of the galaxies the predicted luminosity was within 10 per cent of the true luminosity. In this section, we show the impact of the error in the ANN predictions on the form of the luminosity function. It is important to closely reproduce the original model luminosity function, because this quantity is the most basic statistical description of a galaxy population. Here, we present predictions for the luminosity functions of LBGs at  $z = 3$ , for galaxies selected in the mid-IR at  $z = 0.5$  and SCUBA galaxies at  $z = 2$ .

Throughout this section, we use a 12:30:30:1 ANN architecture, which corresponds to 12 galaxy properties as the input layer, 30 neurons in each of the two hidden layers and one output neuron representing the galaxy luminosity in a given band (see the previous section for further details). The sigmoid function was adopted as the activation function of choice for the hidden neurons. Driven by the conclusions of the previous section, we split our sample into two: quiescent and burst galaxies, and train the network for each of these populations at the selected redshift.

### 5.1 Lyman-break Galaxies at $z = 3$

LBGs were the first significant high-redshift galaxy population to be isolated, and were used to measure the star formation history of the Universe at early epochs (Steidel et al. 1999). These high-redshift galaxies are selected from photometry in several optical



**Figure 10.** A comparison between the ANN predicted rest-frame UV ( $\lambda = 0.17 \mu\text{m}$ ) luminosities and the original luminosities, as extracted from GRASIL model spectra. The left- and right-hand panels show the results for quiescent and burst galaxies, respectively. The solid and dashed lines in the upper panels have the same meaning as in Fig. 4. The distribution of the logarithm of the ratio of predicted to true luminosity is presented in the lower panels. The range of the  $x$ -axis for the lower panels is set to the 1st and 99th percentiles of the distribution for bursts, and the histograms are normalized to give  $\sum_i n_i = 1$ .

**Table 9.** Statistics for the error distribution of the rest-frame UV luminosities predicted by the ANN, for quiescent and burst galaxies at  $z = 3$ . The listed quantities are described in Table 1.

Sample	$\varepsilon_L$	$P_{ e  < 10 \text{ per cent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
Quiescent	0.20	88.4	-72.1	-1.0	0.0	0.7	41.1
Burst	0.40	54.2	-329.7	-9.9	-0.1	9.5	67.6

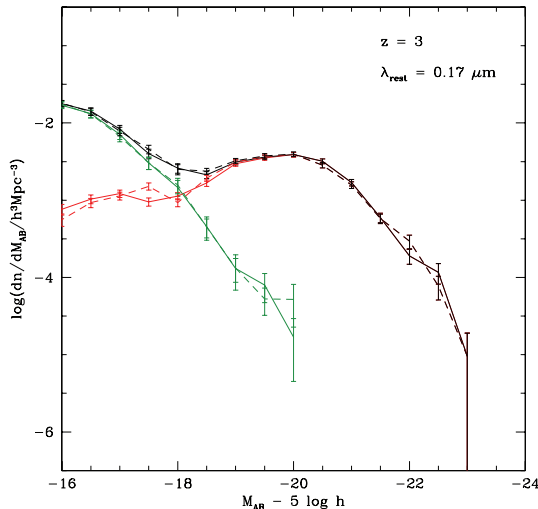
bands which straddle the Lyman-break at  $912 \text{ \AA}$  in a galaxy’s rest frame for objects in the target redshift range ( $z \gtrsim 2$ ).

In this section, we use the ANN to predict the luminosities of  $z = 3$  galaxies at a rest-frame UV wavelength of  $0.17 \mu\text{m}$ , which corresponds to the observer frame  $R$  band at this redshift. We compare the luminosity function of the training set as computed using GRASIL spectra with that obtained using the luminosities predicted by the ANN.

In Fig. 10, we plot the logarithmic error associated with the ANN predicted UV luminosities against the original luminosities (the target values), for quiescent (top left-hand panel) and burst (top right-hand panel) galaxies. In the lower panels, we plot the error distribution for both samples. The statistics of these distributions are summarized in Table 9. In the UV regime, the ANN works

better for quiescent galaxies than for burst galaxies. For most of the quiescent galaxies, 88 per cent of the sample, the ANN predicts luminosities within 10 per cent of the true value, and only  $\approx 4$  per cent of galaxies have errors larger than 20 per cent. Burst galaxies show somewhat a bigger scatter around the expected values, which is driven by the higher intrinsic variety in their spectra at  $0.17 \mu\text{m}$ . The value of  $\varepsilon_L$  is higher for bursts, 0.40. As noted in the previous section, Fig. 10 shows that the error distribution is approximately independent of the luminosity.

The rest frame  $0.17 \mu\text{m}$  luminosity functions at  $z = 3$  are plotted in Fig. 11. The luminosity function constructed using the luminosities predicted by the ANN (dashed lines) is in excellent agreement with the ‘true’ luminosity function which uses the luminosities calculated directly with GRASIL (solid lines). This agreement holds for quiescent and burst galaxies separately, in spite of the somewhat larger errors in the case of burst luminosities. We carried out an independent test of this comparison, by perturbing the GRASIL luminosities by the error distribution of the ANN predictions and reached the same conclusion. At bright luminosities, the true luminosity function is closer to a power law than an exponential break, and so the errors would need to be much larger before they would lead to an appreciable difference in the luminosity function predicted by the ANN. The lack of a dependence of the size of the



**Figure 11.** The rest frame  $0.17 \mu\text{m}$  luminosity function at  $z = 3$ , calculated from the original luminosities (solid lines), as extracted from the GRASIL spectra, and the luminosity function constructed from the ANN predicted luminosities (dashed lines). The black lines show the total luminosity function, whose components are quiescent galaxies (green lines) and burst galaxies (red lines). The error bars on the model luminosity function indicate the Poisson uncertainties due to the limited number of galaxies simulated.

error on UV luminosity also helps to keep the shape of the luminosity function (see Fig. 10) predicted by the ANN close to the true one.

## 5.2 Mid-Infrared Galaxies at $z = 1$

The development of new space-based IR telescopes in the 1980s opened up a new window in the electromagnetic spectrum, allowing us to see galaxies which are heavily obscured in the optical and UV, but which have substantial emission in the IR. This IR emission is the result of the heating of the dust when it absorbs starlight and consequently emits the energy at longer wavelengths. Further studies made it clear that an important fraction of the star formation in the Universe is obscured by dust (Smail, Ivison & Blain 1997; Hauser et al. 1998; Hughes et al. 1998). These discoveries made clear the importance of dusty galaxies for understanding how galaxies are made. Any complete model of galaxy formation must be able to make accurate predictions for the emission from galaxies at IR wavelengths.

This section focuses on the mid-IR emission from galaxies. We predict the luminosities in the MIPS  $24 \mu\text{m}$  band as used in recent observations in the IR by the satellite *Spitzer* (see Lacey et al. 2008, for detailed comparisons between the GALFORM plus GRASIL model predictions and observational data). We selected a galaxy population at  $z = 1$ , which is approximately the median redshift of deep samples studied with *Spitzer* at  $24 \mu\text{m}$  (see fig. 3 of Caputi et al. 2006).

Fig. 12 shows the ratio of the predicted to true luminosity at observer frame  $24 \mu\text{m}$ , for quiescent and burst galaxies. The associated statistics are summarized in Table 10. As we found in the previous section, the ANN method performs better for quiescent galaxies; at  $24 \mu\text{m}$ , the rms logarithmic error is  $\varepsilon_L = 0.06$  and  $P_{|e| < 10 \text{ per cent}} = 93$  per cent. For burst galaxies, the performance is not as good: the percentage of galaxies with errors within 10 per cent is 54 per cent. Different ANN architectures and input galaxy properties were tried without any improvement over these figures. As before, the error

distribution is not correlated with luminosity. This suggests that the errors might not change the shape of the luminosity function. Also, we found no correlation between the error and several other galaxy properties.

In Fig. 13, we compare the  $24 \mu\text{m}$  luminosity functions at  $z = 1$ , constructed from the luminosities predicted by the ANN and the original luminosities as given by GRASIL. As with the UV comparison in the previous section, the luminosity function derived from the ANN predictions is in very good agreement with that obtained directly from GRASIL. Again, this success extends to the luminosity function of the burst sample, even though the errors are larger in this case.

## 5.3 Submillimetre Galaxies at $z = 2$

SMGs are thought to be predominantly dusty starbursts (Smail 2002). The emission in the sub-mm region of the spectrum (around  $850 \mu\text{m}$ ) is mostly due to the heating of dust when it absorbs the UV light emitted by young stars. It is also possible that some contribution to the flux at these wavelengths comes from the dust being heated by an AGN. In fact, recent observations show that  $\sim 30$  per cent of the SMGs population reveal signs of AGN activity (Alexander et al. 2003, 2005; Biggs & Ivison 2008; Casey et al. 2009).

In the standard picture, SMGs are galaxies with prodigious star formation rates  $\sim 500\text{--}1000 M_\odot \text{yr}^{-1}$ . In the Baugh et al. model, a top-heavy initial mass function (IMF) is adopted in merger-driven starbursts. As a result, the model SMGs have more modest star formation rates. Nevertheless, the model SMGs are still the most massive galaxies in place with the highest star formation rates at the median redshift  $z \sim 2$ .

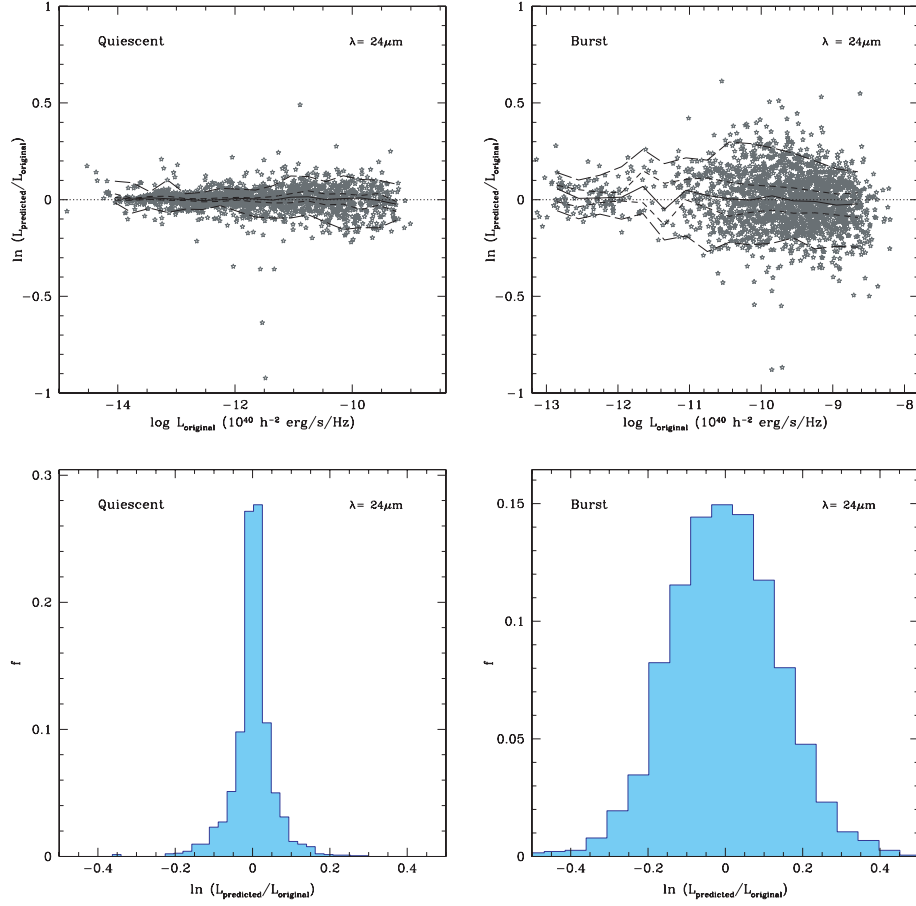
Here, we predict the observer frame  $850 \mu\text{m}$  luminosities for galaxies at  $z = 2$ , using the ANN. We compare our predictions with the correct values extracted from GRASIL spectra, and evaluate the luminosity functions using both the predicted and original luminosities.

The predicted and original  $850 \mu\text{m}$  luminosities are compared in Fig. 14. Further information about the errors is presented in Table 11. As shown in the previous section, the ANN predictions for the sub-mm are extremely good. We are able to reproduce the luminosity of more than 95 per cent of galaxies with an accuracy of 10 per cent or better, for both quiescent and burst galaxies. The success of the predictions is also reflected in the rms logarithmic error, which is 0.09 for quiescent galaxies and 0.10 for the burst sample. No clear correlation was found between the error and galaxy properties.

The luminosity function in the observer frame  $850 \mu\text{m}$  at  $z = 2$  is plotted in Fig. 15. Similar to what we found for the UV and mid-IR bands, the sub-mm luminosity functions calculated using the predicted luminosities are virtually indistinguishable from the functions constructed from the original  $850 \mu\text{m}$  luminosities (extracted from GRASIL spectra), for the whole luminosity range.

## 6 PREDICTING GALAXY COLOURS

In this section, we look at the performance of the ANN when predicting joint luminosity or colour distributions. In particular, we apply the ANN to the prediction of UV sub-mm, mid-IR sub-mm and UV–mid-IR colours, for a sample of GALFORM galaxies extracted at  $z = 0$ . We define colour as  $L_{\text{band } 1}/L_{\text{band } 2}$ , where  $L_{\text{band}} \equiv \langle L_\nu \rangle$ , and the  $\langle \rangle$  brackets denote an average over the filter response of the passband. We predict colours by training the ANN independently for each band. Hence, to predict a colour, two networks were



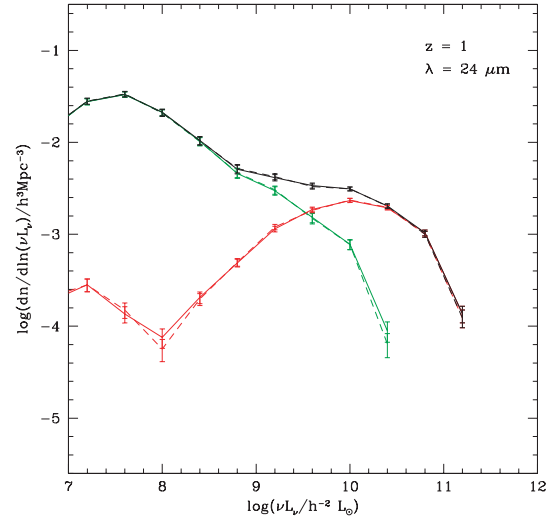
**Figure 12.** The errors on the observer frame  $24\ \mu\text{m}$  luminosity predicted by the ANN for galaxies at  $z = 1$ . The results for quiescent and burst galaxies are shown in the left- and right-hand panels, respectively. The solid and dashed lines in the upper panels have the same meaning as in Fig. 4. The lower panels show the distribution of the logarithmic error. The range of the  $x$ -axes are set to the 1st and 99th percentiles of the distribution, and the histograms are normalized to give  $\sum_i n_i = 1$ .

**Table 10.** Summary statistics for the distribution of the error on the  $24\ \mu\text{m}$  luminosities predicted by the ANN, for quiescent and burst galaxies at  $z = 1$ . A description of the quantities is given in Table 1.

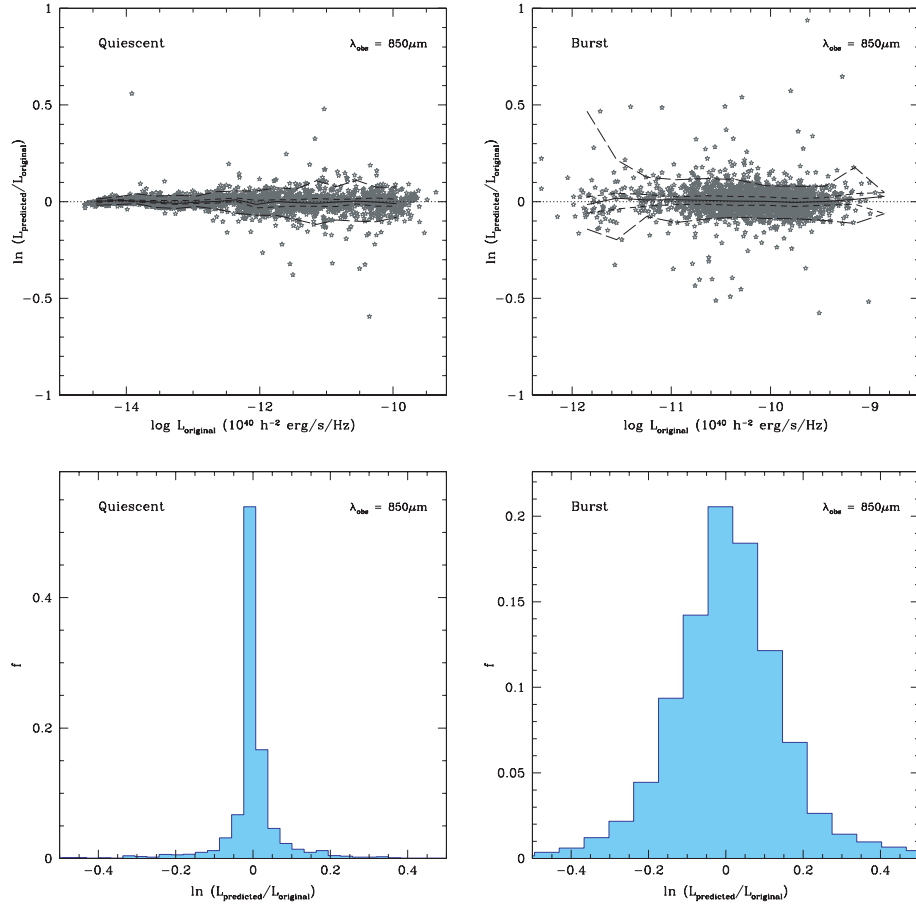
Sample	$\varepsilon_L$	$P_{ e <10\text{ per cent}}$	Min	Q <sub>1</sub>	Q <sub>2</sub>	Q <sub>3</sub>	Max
Quiescent	0.06	92.8	-17.3	-2.2	-0.2	1.5	14.9
Bursts	0.16	53.8	-42.2	-9.3	0.4	9.2	29.9

trained, one for each luminosity. As we noted in Section 4.4, this procedure produces better results than predicting both luminosities simultaneously using two output neurons.

In the upper panels of Fig. 16, we show the comparison between the predicted and true colours. The sample of galaxies used is defined in terms of stellar mass for quiescent galaxies and the stellar mass produced in the most recent burst for starbursts, as described above, and hence, as such, is not intended to match a particular observational selection. From left to right, we plot the  $0.17\text{--}850\ \mu\text{m}$ ,  $24\text{--}850\ \mu\text{m}$  and  $0.17\text{--}24\ \mu\text{m}$  colours. The error distributions are summarized in Table 12. This plot reveals that the ANN performs remarkably well when predicting colours. For quiescent galaxies, we find more than 78 per cent of the samples have colours within 10 per cent of the true colour. As noted in previous sections, the ANN is not capable of achieving such a performance for burst galaxies, with only  $\sim 55$  per cent of galaxies possessing predicted colours within 10 per cent of the expected values. Nevertheless, the



**Figure 13.** The  $24\ \mu\text{m}$  luminosity function at  $z = 1$ , given by original luminosities (solid lines) extracted from the GRASIL spectra, and the luminosity function constructed from the luminosities predicted by the ANN (dashed lines). The black lines show the total luminosity function, with quiescent galaxies represented by the green line and burst galaxies by the red line. The error bars indicate the Poisson uncertainties due to the number of galaxies in each luminosity bin.



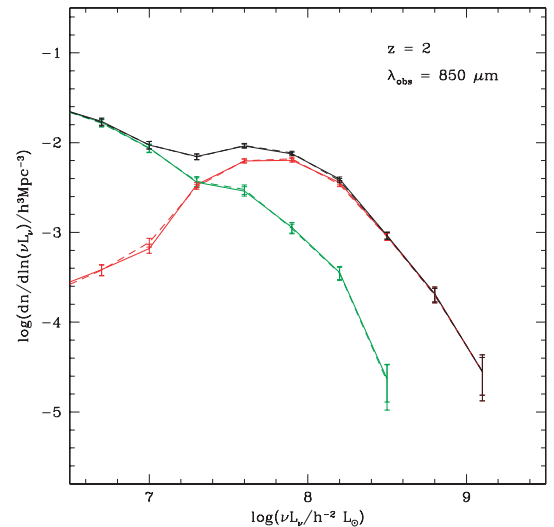
**Figure 14.** The ratio of predicted to true luminosity at 850  $\mu\text{m}$  in the observer frame. Quiescent galaxies are shown in the left-hand panels, while the results for the burst sample are plotted in the right-hand panels. The solid and dashed lines in the upper panels have the same meaning as in Fig. 4. The distribution of the logarithm of the ratio is presented in the lower panels. The range of the  $x$ -axes are set to the 1st and 99th percentiles of the distribution, and the histograms are normalized to give  $\sum_i n_i = 1$ .

**Table 11.** Summary statistics for the predicted 850  $\mu\text{m}$  luminosity error distribution, for quiescent and burst galaxies, at redshift  $z = 2$ . A description of these quantities can be found in Table 1.

Sample	$\varepsilon_L$	$P_{ e  < 10 \text{ percent}}$	NMin	Q1	Q2	Q3	Max
Quiescent	0.09	97.2	-11.7	-1.6	0.0	1.6	13.1
Bursts	0.10	93.2	-27.1	-3.2	-0.2	3.1	21.4

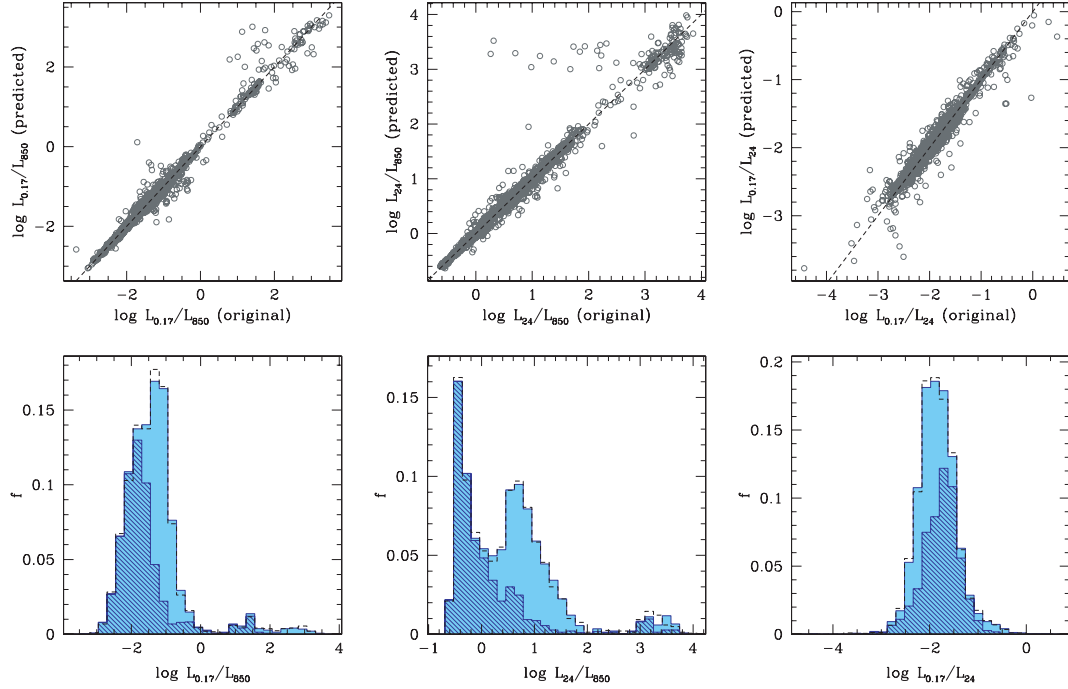
distributions of the predicted colours, shown by the dashed unshaded histograms in the lower panels of Fig. 16, are very similar to the true distributions (represented by the solid-shaded histograms). This results demonstrate that the ANN do preserve the overall shape of the SEDs. No clear correlation was found between the errors associated with the predicted colours and galaxy properties.

Fig. 16 shows that at redshift  $z = 0$ , GALFORM predicts that most of the galaxies have a 0.17–850  $\mu\text{m}$  colour in the range 0.001–1, with a median  $\sim 0.04$ . In this plot, the hatched histogram represents the contribution of the quiescent galaxies to the total colour distribution. We see that quiescent galaxies present redder UV–sub-mm colours (smaller luminosity ratios) than the burst population by a factor of  $\sim 8$ . The distribution of the mid-IR–sub-mm colours shows a bimodality, with one peak at  $\sim 0.38$  and the second at  $\sim 6.3$ . This double-peaked distribution is a consequence of the different nature of quiescent and burst galaxies. The plot indicates



**Figure 15.** The 850  $\mu\text{m}$  observer frame luminosity function at  $z = 2$ . The luminosity functions calculated from the original GRASIL luminosities are shown by solid lines, while the ANN predicted values are plotted using dashed lines. The green and red lines show the luminosity function for the quiescent and burst galaxies, respectively, which are the components of the full sample, represented by the black line. The error bars indicate the Poisson uncertainties due to the number of galaxies simulated.





**Figure 16.** The colour predicted by the ANN plotted against the true colour for a sample of GALFORM galaxies extracted at redshift  $z = 0$ . From left to right, we plot the 0.17–850  $\mu\text{m}$ , 24–850  $\mu\text{m}$  and 0.17–24  $\mu\text{m}$  colours. In the lower panels, we show the distribution of colour. The blue solid-shaded histogram represents the true distribution, with the contribution from quiescent galaxies shown by the hatched histogram. The dashed line, unfilled histograms show the colours predicted by the ANN.

**Table 12.** Statistics of the error distribution associated with the prediction of colours at  $z = 0$ , using ANN. A description of the quantities is given in Table 1.

Colour	Sample	$\varepsilon_L$	$P_{ e  < 10 \text{ percent}}$	$p_1$	$Q_1$	$Q_2$	$Q_3$	$p_{99}$
$\frac{L_{0.17}}{L_{850}}$	Quiescent	0.14	78.9	−42.1	−3.7	0.0	3.8	34.4
	Bursts	0.41	57.0	−474.5	−8.6	0.7	8.8	67.9
$\frac{L_{24}}{L_{850}}$	Quiescent	0.16	81.1	−70.7	−3.5	0.2	3.3	36.4
	Bursts	0.52	58.7	−733.1	−7.8	0.8	8.2	58.0
$\frac{L_{0.17}}{L_{24}}$	Quiescent	0.16	78.3	−40.3	−3.2	0.2	4.2	33.8
	Bursts	0.27	55.4	−103.8	−9.4	0.3	8.4	59.6

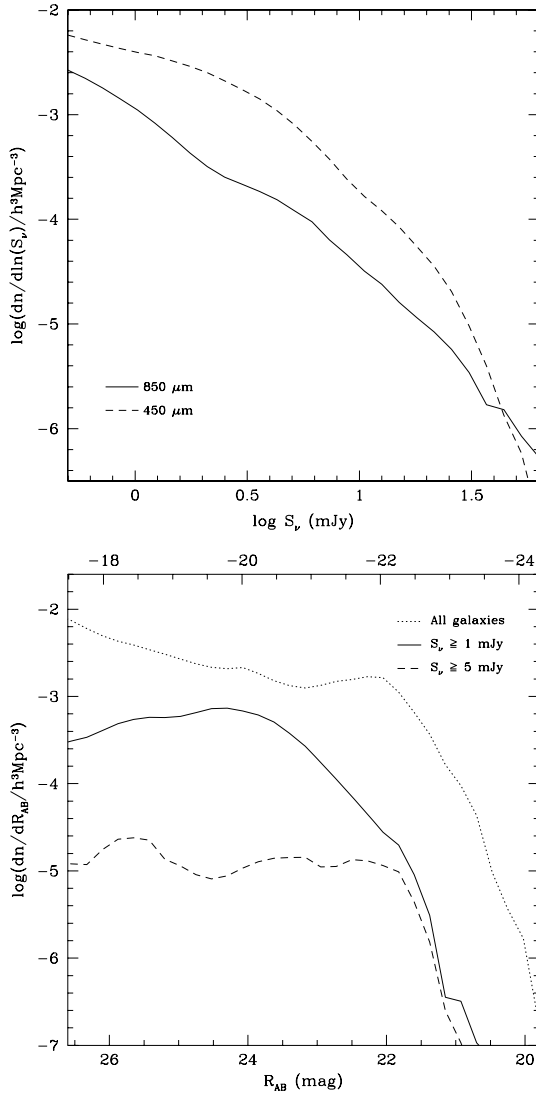
that GALFORM modelled quiescent galaxies have distinctly redder 24–850  $\mu\text{m}$  colours than burst galaxies. In the bottom right-hand panel of Fig. 16, we plot the distribution of UV–mid-IR colour for galaxies at  $z = 0$ . Model galaxies show colours between  $\sim 0.001$ –0.1, with a median around 0.01. Both quiescent and burst galaxies display similar distributions, with the former showing slightly bluer colours.

## 7 THE OVERLAP BETWEEN UV AND SUBMILLIMETRE SELECTED GALAXIES

The star formation history of the Universe has been probed at high redshift using samples selected in the optical and at sub-mm wavelengths (e.g. Smail et al. 1997; Steidel et al. 2003). Samples constructed in the optical are sensitive to emission in the rest-frame UV at redshifts  $z > 2$ . The UV flux is very sensitive to dust extinction. Hence, to estimate the true star formation density from such observations, it is necessary to apply a large extinction correction to the observed flux. This problem does not apply to samples constructed at sub-mm wavelengths. However, there are two different problems to overcome in this case: how much of the dust heating is

due to extinguished starlight and how much arises from AGN emission? What is the conversion from sub-mm flux to total IR luminosity? The completeness of optically selected samples with regard to measuring the star formation density has been called into question. The possibility has been put forward that heavily extinguished star formation could be completely missed in optically selected samples. To resolve these issues, it is important to establish the overlap between optical and sub-mm selected samples (Adelberger & Steidel 2000).

In this section, we shed some light on this problem by using the ANN to predict the optical magnitudes and optical–sub-mm colour distributions of galaxies selected from their sub-mm fluxes at redshift  $z = 2$ . Investigating in detail the overlap between UV-selected and sub-mm-selected samples requires a large galaxy sample, which can only be realistically generated using the ANN approach. We choose redshift  $z = 2$  for this comparison because it is the typical redshift measured for galaxies in current faint sub-mm surveys (Chapman, Blain & Smail 2005). One caveat to be borne in mind in this comparison is that the current version of our model does not follow the heating of the dust by AGN, so all the sub-mm radiation is emitted by the reprocessing of UV starlight by dust. Further



**Figure 17.** Luminosity functions of sub-mm and rest-frame UV selected galaxies at  $z = 2$ . Upper panel: luminosity functions at observed wavelengths of 850  $\mu\text{m}$  (solid line) and 450  $\mu\text{m}$  (dashed line). Lower panel: luminosity function in observed  $R$  band, corresponding to rest-frame UV, with apparent  $R$ -band magnitude shown on bottom axis and rest-frame absolute AB magnitude shown on the top axis. In the lower panel, we distinguish between the total  $R$ -band luminosity function (dotted line), and that for the subsets of galaxies with 850  $\mu\text{m}$  fluxes  $S_v(850 \mu\text{m}) \geq 1 \text{ mJy}$  (solid line) and  $S_v(850 \mu\text{m}) \geq 5 \text{ mJy}$  (dashed line).

analysis of the properties of SMGs in hierarchical models can be found in Swinbank et al. (2008).

We first plot, in Fig. 17, the luminosity functions at observed wavelengths of 450 and 850  $\mu\text{m}$  (top panel) and in the observed  $R$  band, which at  $z = 2$  corresponds to the rest-frame far-UV,  $\lambda = 0.23 \mu\text{m}$  (bottom panel). The integrated number density of SMGs is listed in Table 13. As expected, the plot shows that bright galaxies are rarer than fainter galaxies: SMGs with flux densities  $S_v(850) \geq 1 \text{ mJy}$  are  $\sim 10$  times more abundant than galaxies with  $S_v(850) \geq 5 \text{ mJy}$ . For these brighter galaxies, we calculate a space density of  $7.9 \times 10^{-5} \text{ Mpc}^{-3}$ , which is three times higher than the value estimated observationally by Blain et al. (2004) of  $2.7 \times 10^{-5} \text{ Mpc}^{-3}$ . At a given flux, sub-mm selected galaxies at 450  $\mu\text{m}$  are more abundant than their 850  $\mu\text{m}$  counterparts, with the exception perhaps of galaxies brighter than 50 mJy. In our simulation, we find approximately one SMG with a 450  $\mu\text{m}$  flux density greater than 1 mJy in every 220  $\text{Mpc}^3$ .

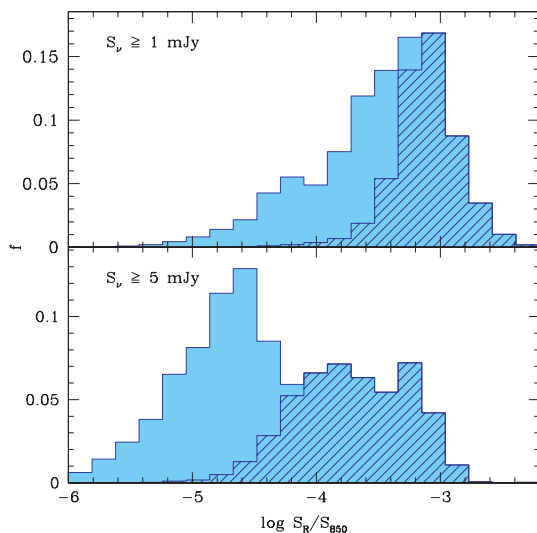
The bottom panel of Fig. 17 reveals that, in our model, a large fraction of SMGs should be detectable in current deep optical surveys. For example, around half of the SMGs with  $S_v(850 \mu\text{m}) > 5 \text{ mJy}$  are predicted to be brighter than  $R_{\text{AB}} = 25$ , which is similar to the magnitude limit used by Steidel et al. (2004) in their survey for star-forming galaxies at  $z \sim 2$  using BX and BM colour selection on the rest-frame UV emission from these galaxies. (Note that for the adopted cosmology,  $R_{\text{AB}} = 25$  corresponds to an absolute magnitude of  $M_{\text{AB}} - 5 \log h = -19.1$  at this redshift.) We find a median magnitude  $R_{\text{AB}} = 25.2$  for SMGs at  $z = 2$  with  $S_v(850 \mu\text{m}) > 5 \text{ mJy}$ . This seems quite consistent with the observational values from Chapman et al. (2005), who found a median  $R_{\text{AB}} = 25.4$  for a sample of radio-detected SMGs with  $S_v(850 \mu\text{m}) \gtrsim 5 \text{ mJy}$ . This panel also reveals another important result: only  $\approx 1$  per cent of all the galaxies brighter than  $R_{\text{AB}} = 25$  are predicted to have 850  $\mu\text{m}$  flux densities brighter than 5 mJy.

In Fig. 18, we plot the distribution of observer-frame  $R$ -band-sub-mm (850  $\mu\text{m}$ ) colours predicted by the ANN, for galaxies in the Millennium Simulation at  $z = 2$ . We plot the colour distributions for SMGs with flux densities  $S_v(850 \mu\text{m}) \geq 1$  and  $\geq 5 \text{ mJy}$  in the two panels. For galaxies with  $S_v(850 \mu\text{m}) \geq 1 \text{ mJy}$ , we find a median colour of  $S_v(R)/S_v(850 \mu\text{m}) \approx 4 \times 10^{-4}$ . Brighter SMGs with  $S_v(850 \mu\text{m}) \geq 5 \text{ mJy}$  display a colour distribution which is on average  $\sim 10$  times redder, with a median colour of  $4 \times 10^{-5}$ . The colour distributions are also seen to be very broad, especially for the brighter sub-mm flux limit, which covers a range  $\sim 10^3$  in colour. We also show (as hatched histograms) the colour distributions which result for each sub-mm flux limit if we further select only galaxies with optical magnitudes brighter than  $R_{\text{AB}} < 25$ . This shows how we lose the redder part of the optical-sub-mm colour distribution with this optical selection.

**Table 13.** The space density of SMGs in the Millennium Simulation at  $z = 2$ .

Sample	450 $\mu\text{m}$ ( $10^{-5} \text{ Mpc}^{-3}$ )	850 $\mu\text{m}$ ( $10^{-5} \text{ Mpc}^{-3}$ )	850 $\mu\text{m}$ and $R_{\text{AB}} < 25 \text{ mag}$ ( $10^{-5} \text{ Mpc}^{-3}$ )
Galaxies with $S_v \geq 0.5 \text{ mJy}$	773.9	211.2	124.6
Galaxies with $S_v \geq 1 \text{ mJy}$	456.3	83.5	53.8
Galaxies with $S_v \geq 5 \text{ mJy}$	45.9	7.9	3.9

*Note.* We distinguish between galaxies with  $S_v(450 \mu\text{m})$  or  $S_v(850 \mu\text{m}) \geq 0.5 \text{ mJy}$ ,  $1 \text{ mJy}$  and  $5 \text{ mJy}$ , respectively. In the third column, we further limit our sample by only considering those galaxies brighter than  $R_{\text{AB}} < 25 \text{ mag}$ . The number densities in the table are quoted in units of  $10^{-5} \text{ Mpc}^{-3}$ .



**Figure 18.** The distribution of observer-frame  $R$ -band-sub-mm ( $850\ \mu\text{m}$ ) colours predicted by the ANN, for galaxies in the Millennium Simulation at  $z = 2$ . The colour is expressed as a flux ratio  $S_\nu(R)/S_\nu(850\ \mu\text{m})$ . The top and bottom panels show the distributions for galaxies with sub-mm flux densities,  $S_\nu(850\ \mu\text{m})$ , brighter than 1 and 5 mJy, respectively. In each panel, the filled histogram shows the full distribution, while the hatched histogram shows the contribution to this from galaxies which are also brighter than  $R_{\text{AB}} = 25$  in the optical.

## 8 DISCUSSION AND CONCLUSIONS

In this paper, we have introduced a new method to rapidly predict accurate SEDs over a wide wavelength range from a small number of galaxy properties, using ANNs. Granato et al. (2000) combined the GALFORM semi-analytical galaxy formation code with the spectro-photometric code GRASIL. The use of GRASIL enables a more comprehensive and accurate treatment of the effect of dust on the SED of the galaxy, predicting the dust emission in the mid- and far-IR regions, as well as improving the accuracy of the predicted spectra in the UV. Unfortunately, GRASIL takes several minutes to run for each galaxy, which prohibits the direct application of this code to populate large dark matter simulation volumes with galaxies. The ANN provides a fast, simple and flexible means to calculate accurate galaxy spectra based on GRASIL. Here, we have carried out the first tests of the method and present applications to galaxy luminosities and colours.

The ANN is trained using a sample of galaxies for which GRASIL has been run to compute spectra. We found that the ANN approach performs well when predicting galaxy spectra from galaxy properties. The best-performing ANN architecture we found is a simple supervised, feed-forward net, composed of 12 input galaxy properties, two hidden layers with 30 neurons each and one output neuron. The ANN works best when predicting the luminosity at one wavelength at a time, rather than the whole spectrum. Due to the inherent variety in the spectra of galaxies which are undergoing a burst of star formation, or which recently underwent a burst, we found it best to train the ANN separately for samples of quiescent and bursting galaxies. The ANN needs to be trained at each redshift of interest and for each set of GALFORM plus GRASIL parameters. The luminosities predicted by the ANN agree remarkably well with those computed directly using GRASIL. In the observer frame  $850\ \mu\text{m}$  at  $z = 2$ , over 90 per cent of the ANN predicted luminosities lie within 10 per cent of the true luminosities calculated directly from GRASIL. The ANN works somewhat less well in the UV and mid-IR. Neverthe-

less, at all the wavelengths considered we find that the luminosity functions predicted by the ANN are in excellent agreement with those computed directly with GRASIL. This shows that, even though the luminosities predicted by the ANN have errors relative to the true luminosities on a galaxy-by-galaxy basis, the errors are small enough that they do not appreciably affect the predicted luminosity function.

The ANN also performs well when predicting the colours of galaxies. In this case, the ANN is trained for each band individually. Given this success, we applied the ANN to investigate the overlap between samples of rest-frame UV and sub-mm selected galaxies at  $z = 2$ . This problem is ideally suited to the ANN approach, as it requires a large sample of galaxies covering a wide range of luminosity. Although we predict that 50 per cent of bright sub-mm sources ( $850\ \mu\text{m}$  flux greater than 5 mJy) should have optical magnitudes brighter than  $R_{\text{AB}} < 25$ , these SMGs make up only a small fraction of an optically selected sample at the same magnitude limit. In an optically selected sample of galaxies at  $z = 2$  brighter than  $R_{\text{AB}} < 25$ , 10 per cent are predicted to have an  $850\ \mu\text{m}$  flux brighter than 1 mJy and 1 per cent are expected to be brighter than 5 mJy. These predictions seem consistent with recent observational constraints (e.g. Chapman et al. 2005).

The success of our new ANN approach in generating accurate predictions of the SEDs of large samples of galaxies means that we can now produce mock catalogues of galaxies for forthcoming surveys such as the *Herschel* ATLAS survey, which will cover  $600\ \text{deg}^2$  in five far-IR bands, and the SCUBA-2 Cosmology Legacy Survey, which will cover around  $40\ \text{deg}^2$  to a fainter flux limit in the sub-mm. In a companion paper, we apply the ANN technique to populate the Millennium Simulation with galaxies with accurate sub-mm fluxes to make predictions for the clustering of dusty galaxies.

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